

Understanding the 3-year HMEP Grant Cycle



U.S. Department of Transportation
Pipeline and Hazardous Materials
Safety Administration

To Protect People and the Environment From the Risks of
Hazardous Materials Transportation



FY 2016-2018 Available Funds Question

- Can states/territories apply for the existing \$25M award balance that remains from FY 2016-2018 awards?



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FY 2016-2018 Available Funds Answer

- No, this amount is currently obligated to 50 states and 5 territories.
 - States and territories are highly encouraged to spend down their award amounts as soon as possible to prepare for closeout.



What will happen to de-obligated HMEP FY 2016-2018 award funds?

The de-obligated award amounts will then be made available for new Assistance for Local Emergency Response Training (ALERT) awards. Only non-profits are eligible to apply for this grant.



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Is there any other way grantees can access more funding beyond annual allocations?

- Yes, PHMSA will invite high performing grantees to apply for supplemental Year 3 (FY 2021) funding based on:
 - successful obligation of Year 1 (FY 2019) funds by December 30, 2020
 - Low de-obligation rate for FY 2016-2018 awards (less than 10% of total awards)
 - Ability to demonstrate need for supplemental funding



FY 2019 Awards Information

- Award execution will occur late September 2019
- FY 2019 performance period goes through September 29, 2022.
- Grantees are encouraged to obligate all funding immediately.
- Year 1 funds not obligated by December 30, 2020 will have Year 3 awards offset by that balance.



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Offset Scenario

- Calisota was awarded \$500,000 in FY 2019 (Year 1) funds.
- On December 30, 2020, Calisota submits an FFR reflecting \$100,000 in unobligated FY 2019 funds.
- Calisota received \$500,000 in Year 2 (FY 2020) funds and was set to receive another \$500,000 in Year 3 (FY 2021).



Offset Scenario Question and Answer

- How much will Calisota receive in FY2021?
 - Calisota will receive a maximum of \$400,000 in Year 3 (FY 2021) funds. PHMSA will redistribute the \$100,000 to the supplemental funding pool.



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Supplemental Eligibility Factors

- 95% or more obligated for Year 1 (FY 2019) funds
- 3-year (2016-2018) de-obligation rate less than 10% of total award.
- A clear and concise justification for additional funds tied to the specific hazmat needs of the state



Supplemental Funding Scenario

- Missitucky received \$500,000 in Year 1 (FY 2019) and another \$500,000 in Year 2 (FY 2020).
- Missitucky identified a need for additional funds for Year 3 to conduct additional training based on a new commodity flow study that reflected a high hazmat risk area.



Supplemental Funding Scenario Continued

- Missitucky has obligated all Year 1 funds and expended 98% of its 2016-2018 funding
- Is Missitucky eligible to apply for additional supplemental funds for Year 3?
 - Yes. Missitucky has met the requirements established to apply for supplemental Year 3 funds.



2019-2020 Changes

- Quarterly Expenditure balances will be emailed to grantee contacts including all authorized representatives.
- PHMSA will offer biannual webinars open to HMEP subrecipients.
- Grantees will receive at least two customer service calls or emails per year from the Chief of Hazmat Grants.



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HMEP FY 2019- FY 2022 Reference Chart for States and Territories

Grant Cycle Year	Funding Source	Period of Performance
Year 1	FY 2019	September 30, 2019 – September 29, 2022
Year 2	FY 2020	September 30, 2020 – September 29, 2022
Year 3	FY 2021	September 30, 2021 – September 29, 2022 (unless an extension is approved)



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