

Homeland Security

U.S. COAST GUARD





### ☑ Identify Shipper's Responsibilities

Identify Carrier's Responsibilities

Identify Coast Guard's Responsibility



## Stringent Vessel Requirements

HAZMAT requirements by Vessel are tougher to:

- Keep the public safe;
- Keep crewmembers safe;
- Protect other shipper's cargo;
- Protect the vessel;
- Keep all commerce flowing



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## Vessel Shipment Regulations

49 CFR HAZMAT

#### **Domestic**

49 CFR Subchapter C (Vessel Specific §176)

#### **International**

International Maritime Dangerous Goods Code & Code 49 CFR 171.22-25





## Domestic vs. International

#### <u>49 CFR 171.22</u>

- Allows shippers to use the IMDG Code
- Extra conditions and limitations apply

#### IMDG Code

- IMO requires shippers to use the IMDG Code when one of the countries involved is party to SOLAS
- > U.S. is party to SOLAS





## **Vessel Requirements**

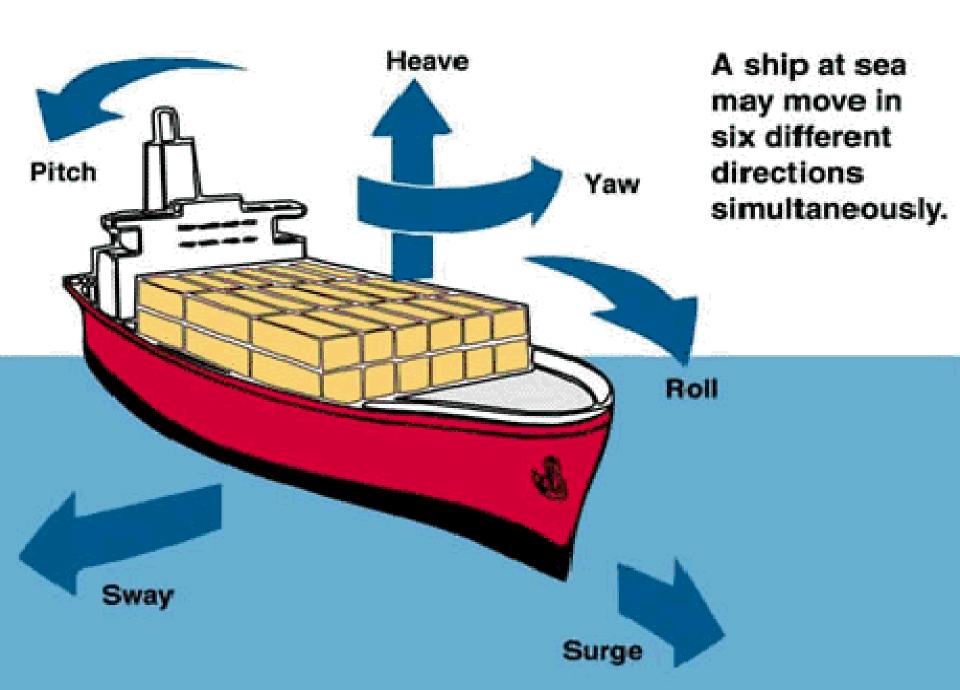




## Vessel Requirements







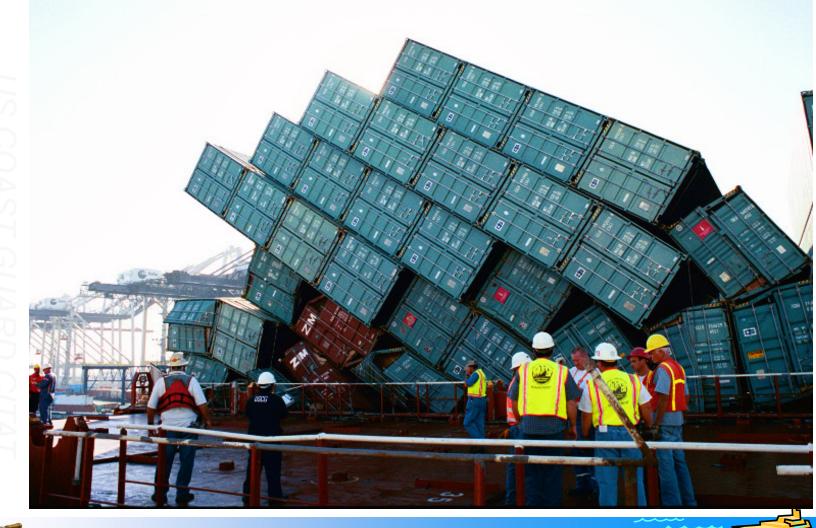
## Vessel Shipments

Shipments by vessel are unique. One container may have items from several different shippers and each vessel carries several thousand containers.



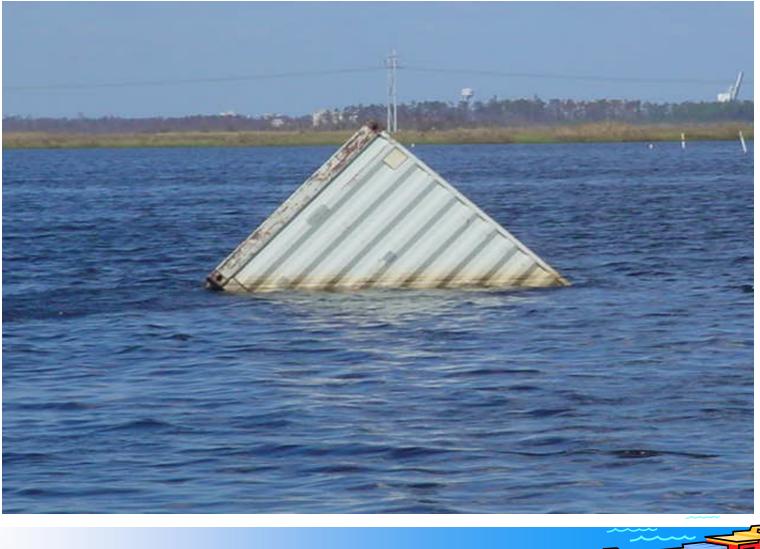




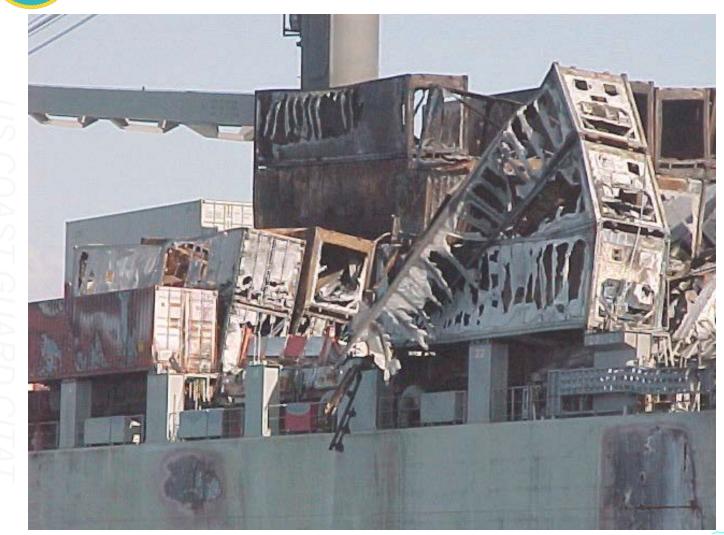
































US COAST

















## Shipper's Responsibilities



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#### **Five Primary Responsibilities**

- ✓ Classify
- ✓ Communicate
- ✓ Package
- ✓ Stow
- ✓ Segregate







#### **Classification**

§172.101

- Regulated by Water (W)
- Proper Shipping Name (PSN)
- Hazardous Substance (RQ)
- Marine Pollutant (*p, pp*)





#### **Communication**

§172.203

- Hazardous Substance (RQ)
- Name of Shipper
- Minimum Flash Point if 61°C or below
- Segregation group for n.o.s. entries
- Marine Pollutant





#### Packaging

#### §173 & §178

No differences for vessel shipments









#### **Stowage**

§176.69

- Stowed in accordance with orientation markings
- Packages blocked, braced, dunnaged and protected to prevent shifting in any direction or any damage





## Shipper's Responsibility

#### <u>Stowage</u>

§176.76

 Detailed containerized cargo blocking, bracing, dunnage, and stowage requirements outlined

























#### **Segregation**

§176.83

Segregations requirements more restrictive by vessel than highway or rail





#### §176.83

#### 49 CFR Ch. I (10-1-08 Edition)

TABLE 176.83(b)—GENERAL SEGREGATION REQUIREMENTS FOR HAZARDOUS MATERIALS

[Segregation must also take account of a single secondary hazard label, as required by paragraph (a)(6) of this section.]

Class	1.1 1.2 1.5	1.3	1.4 1.6	2.1	2.2	2.3	з	4.1	4.2	4.3	5.1	5.2	6.1	6.2	7	8	9
Explosives, 1.1, 1.2, 1.5 Explosives, 1.3 Explosives, 1.4, 1.6 Flammable gases 2.1 Non-toxic, non-flam-	(*) (*) (*) 4	(*) (*) (*) 4	(*) (*) (*) 2	4 4 9 X	2 2 1 X	2 2 1 X	4422	4 3 2 1	4322	4 4 2 X	4422	4422	2 2 X X	4 4 4 4	2 2 2 2	4 2 2 1 1	X X X X X
mable gases 2.2 Poisonous gases 2.3 Flammable liquids 3 Flammable solids 4.1 Spontaneously com-	2 2 4 4	2 2 4 3	1 1 2 2	X 2 1	X 1 X	X 2 X	1 2 X X	X X X X	1 2 1	X 1 X	X 2 1	1 2 2 2	X X X X	2233	1 1 2 2	X X 1	X X X X
bustible substances 4.2 Substances which are dangerous when wet	4	3	2	2	1	2	2	1	х	1	2	2	1	3	2	1	х
4.3 Oxidizing substances	4	4	2	Х	х	х	1	х	1	Х	2	2	х	2	2	1	Х
5.1 Organic peroxides 5.2 Poisons 6.1	4 4 2	4 4 2	2 2 X	2 2 X	X 1 X	X Q X	2 2 X	1 2 X	2 2 1	2 2 X	X 2 1	2 X 1	1 1 X	3 3 1	1 2 X	2 2 X	X X X
Infectious substances 6.2 Radioactive materials	4	4	4	4	2	2	з	3	3	2	3	3	1	х	3	з	х
7 Corrosives 8 Miscellaneous dan-	2 4	2 2	2 2	2 1	1 X	1 X	2 X	2 1	2 1	2 1	1 2	2 2	x x	3 3	X 2	2 X	X X
gerous substances 9	х	Х	х	Х	х	х	Х	х	Х	Х	х	х	Х	х	х	Х	Х

Numbers and symbols relate to the following terms as defined in this section:

1-"Away from."

2-"Separated from."

3—"Separated by a complete compartment or hold from."
4—"Separated longitudinally by an intervening complete compartment or hold from."

X—The segregation, if any, is shown in the §172.101 table. \*—See §176.144 of this part for segregation within Class 1.





#### Segregation

 Example: A shipment of Division 2.2 non-flammable gasses and Class 3 flammable liquids must be segregated "<u>Away from</u>" each other

### §176.83(c)(2)(ii)

"Away from" = horizontal separation of 3 meters (10 feet)





#### **Segregation**

### §176.83(d)

No HAZMAT can be stowed in the same container for which any segregation is required







#### **Container Packing Certificate**

#### §176.27

 The person responsible for loading a freight container with HAZMAT for transportation onboard a manned vessel must complete a signed certificate and supply it to the carrier/vessel.



## Shipper's Responsibility

#### **Container Packing Certificate**

## §176.27(c)(1)

Certificate addresses:

- Structural serviceability of container
- Segregation requirements
- Freight container HAZCOM requirements
- Packages inspected and in good condition



# Shipper's Responsibility

§176.27(c)(2)

Certification may simply state:

"It is declared that the packing of the container has been carried out in accordance with the applicable provisions of 49 CFR and the IMDG Code"

#### CONTAINER PACKING CERTIFICATION

#### OR

#### VEHICLE PACKING DECLARATION

PERSON RESPONSIBLE FOR PACKING THE CARGO TRANSPORT UNIT SHOULD COMPLETE THE CHECKLIST AND SIGN THE CERTIFICATION.

IT IS HEREBY DECLARED THAT THE UNDERSIGNED HAS VISUALLY INSPECTED CARGO TRANSPORT UNIT NUMBER \_\_\_\_\_\_ GHU 253111-1\_\_\_\_\_\_ AND CERTIFIES THAT:

- THE CARGO TRANSPORT UNIT WAS CLEAN, DRY, AND APPARENTLY FIT TO RECEIVE THE GOODS.
- HI IN THE CONSIGNMENTS INCLUDES GOODS OF DIASS , OTHER THAN DIVISION 1.4, THE CARGO TRANSPORT UNIT IS STRUCTURALLY SERVICEABLE IN CONFORMITY WITH SECTION 7.4.4.
- 5-1 goods which should be begreated have not sign packed together onto or bithe cargo transport Unit, Unitles approved by the compatient authority concerning in accordance with 7. 2. 2. 3)
- THI ALL PACKAGE HAVE BEEN EXTERNALLY DISPECTED FOR DAMAGE, LEAKAGE OR SITTING, AND CHLY BOUND PACKAGE HAVE BEEN LEADED.
- 1-1 DRUNG HAVE BEEN STOWED IN AN UPRIGHT POSITION, UNLESS OTHERWISE AUTHORIZED BY THE COMPRETENT AUTHORIZY.
- (~) ALL PACKAGES HAVE WERE PROPERLY PACKED ONTO OR IN THE CARGO TRANSPORT LINE AND DECUTED.
- 7-11. WHEN DANGEROUE DOOD ARE TRANSPORTED IN SULK PACKAGINES, THE GARBO HAS BEEN EVEN. Y DISTUBUTED.
- 1 THE CARGO TRANSPORT UNIT AND THE PACKAGES THEREIN ARE PROPERLY MARKED, LABOLED AND PLACARCER.
- I WHEN BOLD CARDON DIGIDER, (CO2.- DRY KEE) IS USED FOR DOOL, HIS PLACES, THE CARDO TRANSPORT INTO THE IN EXCEEDINALLY BLANGED OR LABELED IN A COMPUTATION PLACE, BUCH AS AT THE BOOR BUD, WITH THE WORDS: "CARDING COULD CALL GALL (INTY FOR INSTALL) VIEW CORDS, THE CARDON BUT THE WORDS."
- THE DANGEROUS DOODS TRANSPORT DOCUMENT REDURED IN SA.1 HAS BEEN RECEIVED FOR EACH DANGEROUS GOODS CONSIGNEENT PACKED ONTO OR IN THE CARGO TRANSPORT UNIT.

11-11-05

NAME / STATUR, COMPANY / ORGANIZATION OF SIGNATORY:

ZIEGLER CHEMICAL

600 PROSPECT AVENUE, PISCATAWAY

SUBMATURE ON BEHALP OF PACION



Shipper's Responsibility

The shipper must provide the vessel a copy of the <u>shipping paper</u>, <u>shipper's certification</u> and a <u>container packing certificate</u> to guarantee to the vessel's crew that all requirements have been followed.



Maritime Transportation of Hazardous Materials <sub>S</sub>



### International Maritime Dangerous Goods Code

## §171.22

- DOT allows the use of the IMDG code within the United States
- Establishes additional requirements to be met when using the IMDG Code





§171.22(c)
If 49 CFR regulates the commodity, but IMDG <u>does not</u>, 49 CFR <u>must</u> be used

§171.22(d)
If IMDG regulates the commodity but 49
CFR does not, it may be shipped as a
Hazardous Material in the US





§171.22(e)

 Materials that are <u>forbidden under 49 CFR</u> <u>cannot</u> be shipped under the IMDG Code





§171.22(f)

- Importers are required to notify foreign shippers of additional U.S. requirements
- All shipping paper entries and package markings must be in English. Additional languages are permitted.





§171.22(g)

- Emergency response information
- Incident reporting requirements
- Packaging requirements for export shipments
- Training and Security requirements





## **Additional Requirements- General**

§171.25

- Shipment must conform to §176
- Shipment must comply with all other subsequent modal regulations (§174, §175, & §177) for intended U.S. route





# **Additional Requirements-Packages**

- §171.23(a)Cylinders
- §171.25(c)Bulk packages & Tanks





### International Maritime Dangerous Goods Code

# **Additional Requirements-Materials**

§171.23(b)

- Class 1 Explosives
- Class 2 Aerosols
- Division 5.2 Organic Peroxides
- Class 6 Poisons and PIHs
- Class 7 Radioactives



### International Maritime Dangerous Goods Code

# **Additional Requirements-Materials**

§171.23(b)

- Air bag inflators/ seat belt pretensioners
- Chemical oxygen generators
- Self-reactive materials
- Hazardous Substances
- Hazardous Wastes
- Marine Pollutants



# Carrier's Responsibilities



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§176.5 states "This subchapter (49 CFR) applies to all vessels, foreign or domestic, when in the navigable waters of the United States."





### **Three Primary Responsibilities**





- §172.101 (HMT) Column 10A
  - General vessel stowage requirement codes-
  - A, B, C, 01, 02, etc..
- §172.101 (k)
  - Defines stowage codes
- §176.63
  - Defines physical stowage locations





#### §172.101 HAZARDOUS MATERIALS TABLE

Sym- bols	Hazardous materials descrip- tions and proper shipping	Hazard class or Di-	Identifica- tion Num-	PG	Label	Label Codes (§ 172.102) Special provi- sions (§ 172.102) Excep- tions bulk Bulk		.,		(9) Quantity limitations (see §§ 173.27 and 175.75)		- Vesse a	0) Istow- ge
bols	names	vision	bers		Codes			Non-		Passenger aircraft/rail	Cargo air- craft only	Loca- tion	Other
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8A)	(8B)	(8C)	(9A)	(9B)	(10A)	(10B)
	Accellerene, see p- Nitrosodimethylaniline. Accumulators, electric, see Bat-												
	Accumulators, electric, see Bat- teries, wet etc. Accumulators, pressurized, pneumatic or hydraulic (con- taining non-flamable gas), see Articles pressurized, pneu- matic or hydraulic (containing												
	non-flamable gas). Aoetal Aoetaldehyde	3	UN1088 UN1089	II I	3 3	IB2, T4, TP1 A3, B16, T11, TP2, TP7		202 201		5 L Forbidden	60 L 30 L	E E	
Α	Acetaldehyde ammonia	9	UN1841	Ш	9	IB8, IP3, IP7, T1, TP33	155	204	240	200 kg	200 kg	A	34
	Acetaldehyde oxime Acetic acid, glacial or Acetic acid solution, with more than 80 percent acid, by mass.	3 8	UN2332 UN2789		3 8, 3	B1, IB3, T4, TP1 A3, A6, A7, A10, B2, IB2, T7, TP2		203 202	242 243	60 L 1 L	220 L 30 L	A A	
	Acetic acid solution, not less than 50 percent but not more than 80 percent acid, by mass.	8	UN2790	П	8	A3, A6, A7, A10, B2, IB2, T7, TP2	154	202	242	1 L	30 L	A	
	Acetic acid solution, with more than 10 percent and less than 50 percent acid, by mass	8	UN2790	Ш	8	IB3, T4, TP1	154	203	242	5 L	60 L	A	
	Acetic anhydride	8	UN1715	Ш	8, 3	A3, A6, A7, A10, B2, IB2, T7, TP2	154	202	243	1 L	30 L	A	40
	Acetone Acetone cyanohydrin, stabilized	3 6.1	UN1090 UN1541	1	3 6.1	IB2, T4, TP1 2, B9, B14, B32, B76, B77, N34, T20, TP2, TP13,	150 None	202 227	242 244	5 L Forbidden	60 L Forbidden	B D	25, 40, 52, 53
	Acetone oils	3	UN1091	П	3	TP38, TP45	150	202	242	5 L	60 L	в	



49 CFR Ch. I (10-1-08 E



§172.101 (HMT) Column 10A

- Identifies where on the ship the cargo may be stowed
- "A" means on deck or under deck on a passenger or cargo vessel





# **ON DECK!?**





§172.101 (HMT) Column 10B

- Special vessel stowage and segregation requirement codes
- 1 through 141
- 1 E through 28E
- §176.84
- Table of provisions





#### §172.101 HAZARDOUS MATERIALS TABLE

Svm-	Hazardous materials descrip-	Hazard	Identifica-	PG	Label	Special provi-	(8) Packaging			Quantity	9) Imitations	(10) Vessel stow- age	
Sym- bols	tions and proper shipping names	class or Di- vision	tion Num- bers	PG	Codes	sions (§ 172.102)	(§ 173. <sup>***</sup> ) Excep- Non- tions bulk		Bulk	(see §§ 173.2 Passenger aircraft/rail	7 and 175.75) Cargo air- craft only	Loca- tion	Other
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8A)	(8B)	(8C)	(9A)	(9B)	(10A)	(10B)
	Accellerene, see p- Nitrosodimethylaniline.												
	Accumulators, electric, see Bat- teries, wet etc.	•••••											
	Accumulators, pressurized, pneumatic or hydraulic (con- taining non-flamable gas), see Articles pressurized, pneu- matic or hydraulic (containing												
	non-flamable gas). Acetal Acetaldehyde	3 3	UN1088 UN1089	II.	3 3	IB2, T4, TP1 A3, B16, T11, TP2, TP7	150 None	202 201		5 L Forbidden	60 L 30 L	E E	
Α	Acetaldehyde ammonia	9	UN1841	Ш	9	IB8, IP3, IP7, T1, TP33	155	204	240	200 kg	200 kg	A	34
	Acetaldehyde oxime Acetic acid, glacial or Acetic acid solution, with more than 80 percent acid, by mass.	3 8	UN2332 UN2789	=	3 8, 3	B1, IB3, T4, TP1 A3, A6, A7, A10, B2, IB2, T7, TP2		203 202		60 L 1 L	220 L 30 L	A A	
	Acetic acid solution, not less than 50 percent but not more	8	UN2790	П	8	A3, A6, A7, A10, B2, IB2, T7, TP2	154	202	242	1 L	30 L	A	
	than 80 percent acid, by mass. Acetic acid solution, with more than 10 percent and less than 50 percent acid, by mass	8	UN2790	Ш	8	IB3, T4, TP1	154	203	242	5 L	60 L	A	
	Acetic anhydride	8	UN1715	Ш	8, 3	A3, A6, A7, A10, B2, IB2, T7, TP2	154	202	243	1 L	30 L	A	40
	Acetone Acetone cyanohydrin, stabilized	3 6.1	UN1090 UN1541	1	3 6.1	IB2, T4, TP1 2, B9, B14, B32, B76, B77, N34, T20, TP2, TP13.	150 None	202 227	242 244	5 L Forbidden	60 L Forbidden	B D	25, 40, 52, 53
	Acetone oils	3	UN1091	П	3	TP38, TP45 IB2, T4, TP1, TP8	150	202	242	5 L	60 L	в	

49 CFR Ch. I (10-1-08 E



- §172.101 (HMT) Column 10B
- Identifies special provisions for loading and stowage
- 40- Stow "clear of living quarters"
- Other §176.84 codes:
- 73- Stow "separated from" chlorates
- 103- Only to be loaded under dry conditions





# Communication

### §176.24 & 27

- A vessel may not accept a hazardous material for transport unless they receive
  - Shipping Papers w/ Shippers Declaration
  - Container Packing Certificate





# Communication

## §176.30

- The carrier shall prepare a dangerous cargo manifest, list, or stow plan to include <u>only</u> material regulated by 49 CFR or IMDG Code
- Contains same information as found on shipping papers
- Includes stowage and segregation information
- Each carrier shall retain a copy for at least one year





## Dangerous Cargo Manifest (DCM)

		D.	ANGE (Fin			DS N e Page Sub		FEST			PAGE N	10.: 01	
NAME OF SHIP :	M/V P	equod	CALL SIG	N: _	148168		IM0	0 NO. / MD	REFERENC	E NO. (option	ual) :		
VOYAGE REFERENCE :	048-0	9	ESTIMAT OF ARRIV			9 Jun	16 BEF	RTH: Lo	ng Beac	h, CA, U	ISA Delta	1-40	
CONTAINER NO.	NO. AND KIND OF PACKAGES	PROPER SHIPPING N	CL. (PRIN		D. PACKING GROUP	SUB- SIDIARY RISK(S)	FLASH POINT (IN 1 C.C.) IF 60°C or BELOW	MARINE POLLUTANT	DG NET/GROSS MASS (Kg)	N.E.Q. (EXPLOSIVES) (Kg)	STOWAGE LOCATION	PORT OF LOADING	PORT OF DISCHARGE
(1)	(2)	(3)	(*	F) (5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)
HDMU2324309	300 1A	BENZALDER	IYDE 9	) 199	0 III		64C		81,90	0	020106	Dubai	L.B.
AZPU3334305	200 4G	Kerosene Sol	ution 3	122	3 III		58C		716		210780	PRK	L.B.
AZPU0955876	200 4G	Kerosene Sol	ution 3	122	3 III		58C		716		210782	PRK	L.B.
OHSE2030991	2160 40	ADHESIVES	3	113	3 III		(-23C)		10,54	.9	070988	ROK	OAK

To: Director of Marine

Dangerous Goods Declaration I CERTIFY THAT THIS DANGEROUS GOODS MANIFEST CONSISTS OF 01

01 PAGE(S)

Declaration to be made in respect of cargoes being exported from Hong Kong

I HEREBY DECLARE THAT THE CONTENTS OF THE CONSIGNMENT ARE FULLY AND ACCURATELY DESCRIBED ABOVE BY THE PROPER SHIPPING NAME(S); THAT THE SHIPMENT IS PACKAGED IN SUCH A MANNER AS TO WITHSTAND THE ORDINARY RISKS OF HANDLING AND TRANSPORT BY SEA HAVING REGARD TO THE PROPERTIES OF THE GOODS TO BE CARRIED AND THAT THE GOODS ARE CLASSIFIED, DOCUMENTED, PACKAGED, MARKED, LABELLED/PLACARDED, STOWED AND SEGREGATED IN ACCORDANCE WITH THE REQUIREMENTS OF THE MERCHANT SHIPPING (SAFETY) (DANGEROUS GOODS AND MARINE POLLUTANTS) REGULATION, MADE UNDER THE MERCHANT SHIPPING (PREVENTION AND CONTROL OF POLLUTION) ORDINANCE, CAP 413.

#### Declaration to be made in respect of cargoes being brought into Hong Kong as either Import Cargoes or Transit Cargoes.

I HEREBY DECLARE THAT I HAVE RECEIVED FROM THE SHIPMASTER A DECLARATION THAT THE GOODS ARE CLASSIFIED, DOCUMENTED, PACKAGED, MARKED, LABELLED/PLACARDED, STOWED AND SEGREGATED IN ACCORDANCE WITH THE REQUIREMENTS OF THE INTERNATIONAL MARITIME DANGEROUS GOODS CODE. (Note: The Director of Marine may call for the production of this declaration.)

NAME OF COMPANY:	Harper	δε	Brothers	Shipping	Co.
FAX NUMBER :					
TELEPHONE NUMBER:					
E-MAIL ADDRESS:					

NAME & SIGNATURE OF PERSON:	Η.	Melville
MAKING THIS DECLARATION (Block Capitals)		

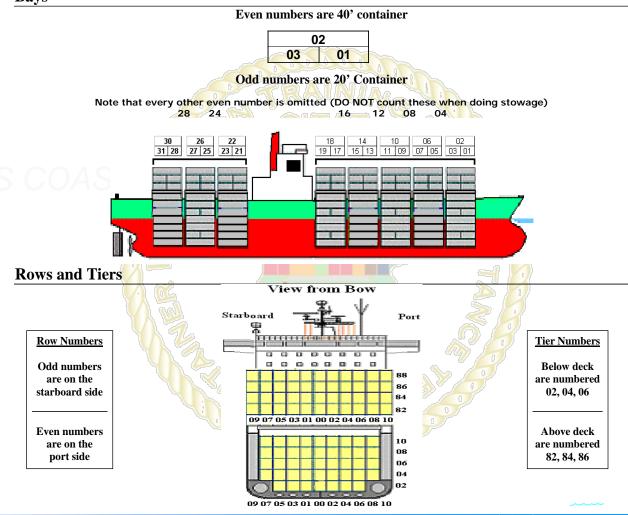
TITLE OF DECLARANT: Vessel Agent

i - 1	- 1 O	T	0000

# Bays, Rows, Tiers









# Detailed Requirements for

EXPLOSIVES

1.1A

# Class 1 Materials

§176.100

- Subpart G contains detailed requirements for vessel shipments of explosives
  - Permits to load or off-load 1.1 and 1.2 materials
  - Permits to load or off-load certain 1.5 and 5.1 materials
  - Supervisory Details
  - Magazine types and requirements



# Detailed Requirements for

FLAMMABLE GAS

# **Class 2 Materials**

§176.200

- Subpart H contains detailed requirements for vessel shipments of compressed gasses
  - Cylinders prevented from making direct contact with vessel's deck
  - Specific blocking and bracing requirements
  - On-deck only stowage for flammable gasses (2.1)





§176.400- Class 4 & 5, Division 1.5 materials

§176.600- Division 2.3 and 6.1 materials

§176.700- Class 7 materials

§176.800- Class 8 materials

§176.900- Cotton & Vegetable fibers, Motor vehicles, Asbestos



# Coast Guard's Responsibilities



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# USCG's Responsibility

### **Primary Responsibilities**

- ✓ Protect the maritime community
- ✓ Protect the American public
- ✓ Protect the environment
- ✓ Standardize enforcement
- ✓ Eliminate delays
- Educate shippers and carriers
- ✓ Ensure cooperation



# USCG Enforcement Authority

- Federal Hazardous Materials Safety Act
- Ports & Waterways Safety Act
- Executive Order 10173
- International Safe Container Act



# USCG Enforcement Authority

### Hazardous Material Transportation Law 49 CFR 100-185

The basic statute regulating hazardous materials transportation in the United States. Coast Guard has authority to enforce regulations pertaining to hazardous materials carried by vessel.



USCG Enforcement Authority

## Ports & Waterways Safety Act 33 CFR 160

### Allows the Coast Guard to:

"to insure the safety of vessels and waterfront facilities, and the protection of the navigable waters and resources"





To ensure the 4th Amendment of the constitution is protected, the Coast Guard must follow strict guidelines when inspecting containers that are not identified as carrying Hazardous Materials.

Coast Guard Policy provides legal guidance to field units





International Safe Container Act

49 CFR 450-453

Covers freight containers used in international service, not domestic, and used to validate structural serviceability standards





This presentation was developed to educate shippers and carriers of hazardous materials who utilize America's maritime transportation system. This presentation should not be used as regulatory reference. Always refer to 49 CFR and IMDG Code when offering or transporting hazardous materials.

Any questions, comments, or concerns can be addressed to the U.S. Coast Guard Container Inspection Training and Assistance Team (CITAT) at:

USCG CITAT Supervisor 6500 S. MacArthur Blvd, RTI-120 Oklahoma City, OK 73169 (405) 954-8985

U.S. Coast Guard CITAT website, www.uscg.mil/hq/citat







