



U.S. Department
of Transportation
**Pipeline and Hazardous
Materials Safety
Administration**

1200 New Jersey Avenue, SE
Washington, DC 20590

2023 Annual Civil Penalty Report

The Pipeline and Hazardous Materials Safety Administration (PHMSA) Office of Hazardous Materials Safety (OHMS) collected payment for and closed 85 enforcement cases and 39 tickets in calendar year 2023, which is summarized in the table below. These enforcement actions resulted in the collection of \$877,013 in civil penalties. The information provided in the annual civil penalty report includes the company name, city, and state; ticket or case number; violation summary; regulatory citation(s); and total penalty amount collected.

OHMS Field Operations personnel verify compliance with the Hazardous Materials Regulations (HMR) through a risk-based inspection program. OHMS investigators inspect companies and individuals that offer or carry hazardous materials for transportation; or manufacture, maintain, repair, recondition, or test packages authorized for transporting hazardous materials. PHMSA's Field Operations have a direct impact on public safety by identifying and acting when compliance violations are found in the nation's transportation system.

Each time an HMR violation is noted by an investigator, the regulated entity is provided with an exit briefing describing the probable violation. Investigators also provide the regulated entity with guidance on how to comply with the HMR to correct the issues and ensure the safe transportation of hazardous materials. Civil penalties are determined in accordance with PHMSA's Guidelines for Civil Penalties, which are found in Appendix A to Subpart D of Part 107 in Title 49 of the Code of Federal Regulations. Additionally, the civil penalty assessments described in this report include penalty assessment considerations based on mitigating and aggravating factors of each specific situation.

Company Name	Violation Summary	Citations	Penalty Amount
3D Imaging Drug Design and Development, LLC Little Rock, AR Case #: 22-0079-HMI-SW	Offered UN2915, Radioactive Material, Type A Package, 7, in a Type A package that leaked radioactivity while in transport. Represented, marked and certified DOT Type A packages as successfully tested in accordance with the HMR, but the diameter of the bar used for the penetration test was smaller than the required 3.2 cm. Represented, marked and certified DOT Type A packages as successfully tested in accordance with the HMR and failed to document the preparation of specimens on the test reports.	173.24(b)(2), (f)(1)(i), & (ii); 173.410(f); 173.465(e)(1); 173.466(a)(2); 173.462(a)(1), (2), (3), & (4)	\$13,125
ABLE MANUFACTURING & ASSEMBLY, LLC Joplin, MO Ticket #: 23T-0023-SH-CE	Offered a hazardous material in a UN standard marked and certified packaging that was not closed in accordance with the manufacturer's closure instructions.	173.22(a)(4); 173.24(f)(2)	\$1,440
Absolute Chemical Nashville, TN Case #: 22-0135-SH-CE	Offered and transported a hazardous material in a non-UN specification portable tank without shipping papers, package markings, labels and placards. Failed to label the package as a primary hazard. Failed to provide initial and recurrent general awareness, function-specific, safety, and security awareness training. Failed to register as a shipper of hazardous materials with PHMSA.	172.200; 172.300; 172.400 & (a); 172.500; 172.402(a); 172.702(a); 172.704(a)(1), (2), (3), (4), (c)(1), (2), & (d); 107.601(a)(6); 107.608(a) & (b); 107.612	\$22,275
ACE Welding Supply, Inc. Tampa, FL Ticket #: 22T-0025-SH-SO	Failed to provide recurrent general awareness, function-specific, safety, and security awareness training.	172.702(a) & (b); 172.704(a)(1), (2), (3), (4), & (c)(2)	\$2,000
Acid Products Co., Inc. Chicago, IL Case #: 22-0228-SH-CE	Offered a hazardous material in a UN Standard IBC that was filled out of test. Offered a hazardous material and listed additional unauthorized information interspersed in the basic shipping description.	173.35(a); 180.352; 172.202(b)	\$3,225
Advanced Structural Technologies Camarillo, CA Case #: 22-0247-CM-SW	Manufactured, represented, marked, certified or sold U.S. DOT cylinder. Failed to create and maintain the manufacturer's reports.	178.35(g); CGA C-11 (section 8.2.7)	\$4,650

Company Name	Violation Summary	Citations	Penalty Amount
Air Sea Containers, INC. Doral, FL Case #: 21-0320-PM-EA	Failed to ensure a package can meet the prescribed tests. Failed to close packages for testing in the same manner as for use in transportation. Failure to include all components necessary for a complete package test report. Failure to provide complete closure instructions.	178.601(1), (2), & (b); 178.812; 172.602(a) & (e); 178.603(c); 178.2(c)	\$21,420
Alchemix Atlanta, GA Case #: 22-0168-PM-SO	Offered and transported a large bulk quantity of Hazard Class 3, PG II material and failed to develop a security plan. Offered a hazardous material in UN-certified non-bulk containers and failed to close the UN specification packaging according to the closure notification. Failed to bring the package into compliance with the manufacturer's test report.	172.800(b); 172.802; 173.22(a)(4)(i); 173.24(f)(2)	\$6,000
ALL ISLAND PROPANE CORP. Shirley, NY Ticket #: 23T-0019-SH-EA	Failed to create a monthly visual inspection record of the cargo tank delivery hose assembly.	180.416(d)(5)	\$1,000
AMERICAN CHEMICAL SYSTEMS II, LLC Wichita, KS Ticket #: 23T-0096-SH-CE	Offered a hazardous material in a UN standard marked and certified packaging that was not closed according to the manufacturer's closure instructions. Offered a hazardous material in a UN standard marked and certified packaging with a UN ID number marking size under the regulation height requirements and the hazard class label under the regulation size requirements. Allowed an employee to perform a function subject to the requirements of the hazardous materials regulations (HMR) who failed to maintain records.	173.22(a)(4); 173.24(f)(2); 172.301(a)(1); 172.407(c)(1); 172.704(d)	\$1,340

Company Name	Violation Summary	Citations	Penalty Amount
American Piping Inspection Midland, TX Case #: 22-0147-SH-SW	Offered and transported a known radionuclide listed by the International Atomic Energy Agency Code of Conduct (IBR, see § 171.7) Category 1 and 2 materials, Nuclear Regulatory Commission, Category 1 and Category 2 radioactive materials as listed in Table 1, appendix A to 10 CFR part 37 and failed to develop a written security plan. Offered a hazardous material, RQ, UN2916, Radioactive material, Type B(U) package, 7, and failed to register with the USNRC as a party to the packaging approval. Failed to provide security awareness and in-depth security training. Offered and transported a hazardous material and listed multiple emergency response telephone numbers on shipping papers. Offered a hazardous material, UN 2916, Radioactive Material, Type B(U) package 7, and failed to list the name of each radionuclide, the maximum activity, the category label and the transport index assigned to the radioactive material contained in each package in the shipment on the shipping paper. Offered and transported a hazardous material accompanied by shipping papers and failed to list the basic description in the order specified.	172.800(b)(15); 172.802(a); 173.471(a); 172.702(b); 172.704(a)(4) & (5); 172.604(a); 172.203(d)(1), (3), (4) & (5); 172.202(b)	\$17,650
Amerigas Propane, L.P. Centreville, AL Case #: 23-0077-CR-SO	Represented, certified or marked a cylinder as requalified on a specification cylinder and failed to keep complete and accurate records of cylinder reinspection and retest.	180.215(b)	\$2,775
Anesthesia Service, Inc. Oklahoma City, OK Ticket #: 23T-0183-SH-SW	Offered and transported hazardous materials listed on shipping papers that listed an incorrect proper shipping name and contained additional information interspersed within the basic description. Allowed employees to perform functions subject to the requirements of the HMR who failed to create and retain current records of hazmat training.	172.201(a)(4); 172.202(a)(2), (7), & (b); 172.704(d)	\$840
Atlas Chemicals Company Cedar Rapids, IA Case #: 21-0106-SH-EA	Offered a forbidden explosive that was not approved.	173.54(a)	\$9,300

Company Name	Violation Summary	Citations	Penalty Amount
BARTON SOLVENTS, INC. Des Moines, IA Ticket #: 23T-0010-SH-CE	Offered and transported a hazardous material in a UN certified package and failed to close the packaging according to the closure instructions. Represented and offered a UN certified packaging and failed to keep complete and accurate records of IBC retest. Offered a hazardous material and failed to mark the required technical name(s) in parentheses for a listed generic or "N.O.S." entry.	173.22(a)(4)(i); 173.24(f)(2); 180.352(g)(2); 172.301(b)	\$2,660
Battery Jack Inc. BDA Big Time Battery & Electronics Edgewater, FL Case #: 23-0015-SH-SW	Failed to provide initial general awareness, function-specific, safety and security awareness training.	172.702(b); 172.704(a)	\$3,000
B.G.R., Inc. West Chester, OH Case #: 23-0103-PM-EA	Represented and certified packages as a UN standard package with incomplete and inaccurate qualification test reports.	178.2(a); 178.2(b); 178.601(l)	\$3,700
Blue Ridge Solvents & Coatings, Inc. Henry, VA Case #: 23-0040-PC-SO	Certified UN packaging and offered a hazardous material for transportation in a package (IBC) that was not retested or inspected as required. Offered a hazardous material in packaging with hazardous materials residue on the exterior of the package.	180.352; 173.24(b)(4)	\$14,025
BRENNTAG GREAT LAKES, LLC Des Moines, IA Ticket #: 23T-0009-SH-CE	Offered a hazardous material in UN standard packaging that was not closed according to the manufacturer's closure instructions.	173.22(a)(4); 173.24(f)(2)	\$1,440
Brookmeade Hardware and Supply Company Nashville, TN Case #: 22-0253-SH-CE	Offered and transported a hazardous material without shipping papers, package markings, or labels. Failed to provide initial and recurrent general awareness, function-specific, safety and security awareness training.	172.200; 172.300; 172.400; 172.702(a); 172.704(a)(1), (2), (3), (4), & (c)(2)	\$20,475
Burlington Environmental LLC Kent, WA Case #: 22-0111-SH-SW	Offered a hazardous material, UN1993, Flammable Liquids, N.O.S. (Xylene, Toluene) 3, II, packaged in 92 - 1A2 55-gallon drums. One leaked during normal transportation conditions. Failed to close the UN standard marked and certified packages according to the manufacturer's closure instructions.	173.1(b); 173.22(a)(1), (2) & (4); 173.24(a), (b)(1), (2), & (f)(2)	\$8,540

Company Name	Violation Summary	Citations	Penalty Amount
Buster's Propane LLC Corpus Christi, TX Case #: 22-0265-CR-SW	Filled, offered and transported a hazardous material in a DOT specification MC-331 cargo tank that was overdue the prescribed periodic retest. Failed to provide in-depth security training.	173.33(a)(3); 180.407(a) & (c); 172.2(b), 172.702(b); 172.704(a)(5) & (c)(1)	\$6,660
CAPPS MANUFACTURING, INCORPORATED Wichita, KS Ticket #: 23T-0053-SH-CE	Offered a hazardous material in a UN standard marked and certified packaging that was not closed according to the manufacturer's closure instructions.	173.22(a)(4); 173.24(f)(2)	\$712.06
Church & Dwight Co., Inc. Harrisonville, MO Case #: 23-0160-SH-CE	Offered a hazardous material in UN standard packaging that was not closed according to the manufacturer's closure instructions.	173.22(a)(4)(i); 173.24(f)(2)	\$750
CLEANLITES RECYCLING Lakeville, MN Ticket #: 23T-0055-SH-CE	Allowed an employee to perform a function subject to the requirements of the hazardous materials regulations (HMR), while hazmat training records were not maintained.	172.704(d)	\$240
CO2 EXCHANGE LLC Seymour, WI Ticket #: 23T-0033-SH-CE	Offered a hazardous material that was transported in accordance with a DOT Special Permit but failed to comply with the requirements of a Special Permit.	107.105; SP-20936	\$400

Company Name	Violation Summary	Citations	Penalty Amount
<p>Container Life Cycle Management, LLC dba Drumco, Inc. Arkadelphia, AR</p> <p>Case #: 21-0188-PM-SW</p>	<p>Represented, marked, certified and sold UN standard 1A1 and 1A2 reconditioned and remanufactured 55-gallon drums meeting the requirements of the HMR, when periodic tests were not performed every 12 months.</p> <p>Represented, marked, sold and certified UN standard reconditioned and remanufactured 1A1 and 1A2 55-gallon drums meeting the requirements of the HMR but failed to retain copies of periodic tests. Represented, marked, certified and sold UN standard 1A1 and 1A2 design type 55-gallon reconditioned and remanufactured drums under the terms of an expired DOT approval issued by the Associate Administrator of Hazardous Materials Safety for using an alternative method of production leakproofness testing. Represented, marked, certified and sold UN standard 1A2 55-gallon reconditioned and remanufactured drums, embossed with an illegible specific gravity and a registered manufacturer symbol (M4918) which was terminated by the DOT.</p> <p>Represented, marked, certified, and sold UN standard 1A1 and 1A2 design type 55-gallon reconditioned and remanufactured drums, that failed to be marked with the assigned approval number issued by the AAHMS for an alternative leak testing method during production.</p>	<p>178.601(e), (h), & (l); 178.2(a); 178.604(b) & (d); CA2009070021 & Paragraph 5.d; 178.3(a)(3); 178.503(a)</p>	<p>\$13,531</p>
<p>Contrivance Incorporated Phoenix, AZ</p> <p>Case #: 22-0271-CM-WE</p>	<p>Represented, marked and certified DOT 4L specification cylinders as successfully repaired in accordance with the Hazardous Materials Regulations (HMR) and failed to conduct leak testing using a mass spectrometer detection system. Failed to properly mark a requalification identification number (RIN) on a cylinder. Failed to properly create and maintain training records.</p>	<p>180.1; 180.2; 180.3; 180.211(a) & (c)(2)(ii); CA2016120007; 180.213(e); 172.702(a) and (b); 172.704(a)(1), (2), (4), (c)(2), & (d)</p>	<p>\$9,280</p>
<p>Cougar Chemical Nashville, TN</p> <p>Case #: 22-0126-SH-CE</p>	<p>Offered and transported a hazardous material without shipping papers, package markings, labels, or placards. Offered and transported a hazardous material in an unauthorized non-UN standard or non-specification packaging.</p>	<p>172.200; 172.300; 172.400; 173.22(a)(2); 173.24(c); 173.202(a) & (b)</p>	<p>\$16,144</p>

Company Name	Violation Summary	Citations	Penalty Amount
Crimson Chemicals, Inc. Fort Worth, TX Case #: 22-0207-SH-SW	Offered Packing Group II and Packing Group III hazardous materials without shipping papers, package markings and labels, thereby creating undeclared shipments of hazardous materials. Offered hazardous materials in unauthorized non-UN standard packages. Failed to register with PHMSA as an offeror of hazardous materials. Failed to provide general awareness, function-specific, safety and security awareness training.	172.200(a); 172.300(a); 172.400(a); 173.202; 173.203; 107.601(a); 107.608(b); 172.504(c); 172.702(b); 172.704(a)(1), (2), (3) & (4)	\$27,120
Dade Paper & Bag, LLC Jacksonville, FL Case #: 22-0202-SH-SO	Failed to provide general, function-specific, safety and security awareness training. Failed to comply with Federal Motor Carrier Safety Regulations by operating a hazardous materials motor vehicle without a hazardous materials endorsement. Offered a hazardous material, accompanied by a shipping paper that failed to list the hazardous classification in the basic description. Offered a hazardous material accompanied by a shipping paper that failed to list the packing group in the basic description.	172.702; 172.704; 177.800(a), (b), & (c); 177.816(a); 177.802; 177.804(a); 383.1(b)(8); 383.93(b)(4); 172.202(a)(3); 172.202(a)(4)	\$13,534.13
Dakota Fire Extinguishers Inc. Minot, ND Case #: 23-0073-CR-CE	Offered and transported a hazardous material and failed to comply with packaging requirements for limited quantities. Represented, certified, or marked a cylinder as requalified on a DOT specification cylinder, when failing to keep complete and accurate records of cylinder reinspection and retest. Failed to provide general awareness, function specific, safety and security awareness training. Failed to create and maintain training records.	173.156; 173.306; 173.309(c)(5); 180.215(b)(2); 172.702; 172.704(a)(1), (2), (3), (4), (c)(1), & (d)	\$4,500
Diamond-Vogel Paint Company Wichita, KS Case #: 23-0052-SH-CE	Offered a hazardous material in an unauthorized non-UN standard packaging.	173.22(a)(2); 173.24(c)	\$5,547
DT Gruelle Moon Township, PA Ticket #: 23T-0043-SH-EA	Caused the transportation of an explosive hazardous material classified by the manufacturer as a division 1.3(C) hazardous material that was not approved by the Associate Administrator for Hazardous Materials Safety or examined, classed and approved by any other agency or authorized method without a registration.	107.601(a)(2); 107.608(b)	\$2,015

Company Name	Violation Summary	Citations	Penalty Amount
Dyno Nobel (dba Alpha Dyno Nobel) Lincoln, CA Case #: 23-0123-SH-WE	Offered and transported hazardous material in an unauthorized non-UN standard or non-specification packaging.	172.101; 172.102; 173.22; 173.66; 177.800(a); 177.801; 177.835(d); IME SLP23	\$6,525
East Coast Drilling and Blasting Knightdale, NC Ticket #: 23T-0066-SH-SO	Failed to register as a shipper of hazardous materials with PHMSA.	107.601(a)(6); 107.608(a) & (b)	\$400
Economy Polymers & Chemicals Midland, TX Case #: 22-0159-HM-SW	Offered a hazardous material in an unauthorized non-DOT specification packaging manufactured under DOT-SP 10878 but marked with the letters DOT-SP 11903 and not 10878; Failed to provide general awareness, function-specific, safety, security awareness and recurrent training; Offered hazardous materials accompanied by shipping papers that failed to list the number and type of packages.	173.22(a); 172.702(a) & (b); 172.704(a)(1), (2), (3), (4), & (c)(2); 172.202(a)(7)	\$8,100
ECP Incorporated Woodridge, IL Case #: 22-0251-SH-CE	Offered a hazardous material in an unauthorized non-UN standard or non-specification packaging when specification packaging is prescribed. Offered a hazardous material in UN certified 3H1 jerricans and failed to close the UN certified according to the manufacturer's closure instructions. Offered a hazardous material and failed to keep required package markings unobscured by product label.	173.22(a)(2) & (4)(i); 173.24(c) & (f)(2); 173.202(a) & (b); 172.303(a)(3)	\$8,065
Ellenville Beer & Soda, Inc. Ellenville, NY Case #: 22-0096-SH-EA	Failed to visually inspect a cylinder prior to filling it with a hazardous material. Failed to verify the amount of liquified gas filled into a cylinder by weight. Failed to provide initial general awareness, function-specific, safety and security awareness training.	173.301(a)(2); 180.205(f); 173.304a(c); 172.702(a)	\$9,200

Company Name	Violation Summary	Citations	Penalty Amount
Essex Industries, Inc. St Louis, MO Case #: 23-0084-CM-CE	Manufactured, represented, marked and certified DOT cylinder that was not inspected, verified or witnessed by a qualified Competent Inspector and the inspector's report provided was incomplete. Represented, certified, or marked a DOT specification cylinder as requalified, without confirming the accuracy of the test equipment. Failed to provide initial general awareness and security awareness training. Failed to provide create and maintain training records. Represented, certified, marked, or offered DOT Special Permit 14591 cylinder as having been manufactured in accordance with the HMR, without holding a current special permit issued by the Associate Administrator.	178.35(b) & (c); 178.57(i)(1); 172.702(a); 172.704(a)(1), (4), (c)(1), & (d); 171.2(c) & (g)	\$14,185
FedEx Corporation Memphis, TN Case #: 22-0052-SI-EA	Failed to file written hazardous materials incident reports within 30 days of discovering a hazardous material incident.	171.2(a); 171.16(a)	\$6,800
Ferrellgas LP Fort Myers, FL Ticket #: 23T-0057-CR-CE	Represented, certified or marked a cylinder as condemned on a specification cylinder and failed to keep complete and accurate records of cylinder requalification.	180.215(b)(2)	\$960
Flightstar Aircraft Services, LLC. Jacksonville, FL Case #: 22-0105-SH-SO	Offered a hazardous material without shipping papers, package markings, or labels.	172.200(a); 172.300(a); 172.400(a)(1)	\$13,500
Floratine Products Group, Inc. Collierville, TN Case #: 23-0041-SH-SO	Failed to provide general awareness, function-specific, safety and security awareness training. Offered a hazardous material in UN standard packaging that was not closed according to the manufacturer's closure instructions. Offered UN 3264 Corrosive Liquid, Acidic Inorganic, N.O.S (Ferric Nitrate Solution, Magnesium Nitrate), 8, II, in 2 X 2.5-gallon UN specification 4G combination fiberboard boxes marked with the UN identification number smaller than the required 6 mm in height, and without the proper shipping name.	172.702(a); 172.704(a)(1), (2), (3), (4), & (c)(1); 173.22(a)(4); 173.24(b) & (f)(2); 172.301(a)(1)	\$7,875

Company Name	Violation Summary	Citations	Penalty Amount
Formulated Solutions, LLC Largo, FL Case #: 23-0042-SH-SO	Offered and transported UN1170, Ethanol Solution, 3, II and failed to provide hazardous materials shipping papers or provide an emergency response telephone number.	172.200; 172.202; 172.604	\$4,200
Frankfort Regional Medical Center Frankfort, KY Case #: 23-0068-SI-SO	Offered a hazardous material in authorized non-bulk non-specification packages, with an inner package that did not meet the requirements for proper closure of the bag to prevent leakage of contents. Failed to provide initial and recurrent general awareness, function-specific and security awareness training.	173.134(c)(1)(ii); 172.702(a) & (b); 172.704(c)(1) & (2)	\$5,775
Gateway Chemicals Ltd Avon, NY Ticket #: 23T-0006-SH-EA	Offered hazardous waste for transportation in UN-specification packaging that was not closed according to the manufacturer's closure instructions.	173.22(a)(4)(i)	\$1,600
General Cryogenic Technologies, LLC Westbury, NY Ticket #: 23T-0039-SH-EA	Failed to obtain a certificate of registration. Failed to provide initial DOT training in security awareness and in-depth security. Failed to include the subsidiary division number in the basic description.	107.601(a)(6); 107.608(b); 172.704(a)(4) & (5); 172.202(a)(3)	\$1,880
Georgia Gas Distributors, Inc. Atlanta, GA Ticket #: 23T-0024-CR-SO	Represented, certified and marked cylinders as successfully requalified in accordance with the Hazardous Materials Regulations while failing to maintain complete and accurate daily records of requalification.	180.215(b)(2)	\$1,000
GFS Chemicals, Inc. Powell, OH Case #: 23-0104-SH-EA	Failed to provide initial general awareness, function-specific, safety, security and in-depth security training.	172.702(a)	\$6,180
Girard Chemical Company Bensenville, IL Ticket #: 23T-0032-SH-CE	Offered a misclassified hazardous material. Offered a hazardous material and failed to mark the package with an adequate size identification number. Offered a hazardous material and failed to label the package as an adequate size hazardous material. Offered a hazardous material listing additional information interspersed in the basic shipping description.	172.101(c)(12)(i); 172.200(a); 172.201(a); 172.301(a); 173.22(a)(1); 172.301(a); 172.407(c)(1); 172.202(b)	\$6,000

Company Name	Violation Summary	Citations	Penalty Amount
Givaudan Flavors Corporation Cincinnati, OH Ticket #: 23T-0018-SH-EA	Offered hazardous materials in UN standard packages that were not closed according to the packages closure instructions. Offered a hazardous material in UN standard packages without ensuring the package hazard class label was adjacent to the markings.	173.22(a)(4); 173.24(f)(2); 172.406(a)(1)(ii)	\$2,500
Global Express International Inc. City of Industry, CA Case #: 22-0110-SH-WE	Offered hazardous materials for transportation in commerce without shipping papers.	121.201(a) & (e)	\$4,285
Goodwill Industries of San Antonio San Antonio, TX Case #: 23-0030-SH-SW	Offered lithium-ion batteries in improperly prepared packaging that lacked required markings.	173.21(c); 173.185(c)(1)(iii) & (c)(2)	\$4,500
Heine Propane, LLC Granbury, TX Case #: 22-0189-CA-SW	Filled and transported UN1075, Propane, 2.1, in a cargo tank that was overdue for periodic testing. Filled and transported a hazardous material in a cargo tank that was missing required markings. Offered hazardous materials in a cargo tank motor vehicle that is required to be equipped with an off-truck remote shut off device (OTRSD). Failed to check and test the OTRSD within 18 hours before the first delivery of each day.	173.33(a)(3); 180.407(a)(1) & (c); 178.337-9; 173.315(n)(3); 177.840(o)	\$6,640
Industrial Adhesives, Inc. Decatur, GA Case #: 23-0109-SH-SO	Offered UN 2735 Amines, Liquid, Corrosive, N.O.S. (Diethylenetriamine, Polyamidoamine), 8, II, in 5-gallon UN specification pails, that were not marked with a Class 8 hazardous materials label. Offered a hazardous material in five-gallon UN specification pails that were not marked with the UN identification number and proper shipping name.	172.400; 172.442; 172.301(a)(1)	\$13,313
Industrial Container Services, LLC Louisville, KY Case #: 22-0297-PM-SO	Represented, marked and certified steel drums as UN 1A2/Y1.2/100, in compliance with Competent Authority Approval (CAA) requirements, under an expired approval. Failed to properly prepare and maintain records of performance-oriented packaging tests.	107.705; 178.504; 178.601(h) & (l)(2)	\$1,800
Jiangxi Province Lidu Fireworks Corporation Ltd Muncie, IN Case #: 21-0168-SH-EA	Offered a hazardous material that was not approved and offering forbidden explosives. Failed to provide a proper shipping paper. Failed to mark packages with the proper shipping name.	173.51(a); 173.54(a); 172.202(a)(1), (2), (3), & (5)(i); 173.22; 172.300(a); 172.301(a); 172.320(a)	\$16,660

Company Name	Violation Summary	Citations	Penalty Amount
Kaplan Container, Inc. Rochester, NY Ticket #: 23T-0004-PM-EA	Failed to provide closure instructions for a person to whom the packaging is transferred.	178.2(c)(1)(i)[B]	\$1,240
Kneppy's Fireworks, Inc. Morrisdale, PA Case #: 22-0283-SH-EA	Failed to register as an offeror of hazardous materials and pay registration fee. Failed to provide initial general awareness, function-specific, safety, security awareness training and in-depth security training. Failed to develop a security plan.	107.608; 172.704(a)(1), (2), (3), (4), & (5); 172.802(b)(2)	\$8,100
Lake Champlain Transportation Company Burlington, VT Ticket #: 21T-0410-CA-EA	Failed to register as a carrier of hazardous materials. Transported hazardous materials by vessel without a shipping paper.	107.608; 176.24(a)	\$760
LG Energy Solutions U.S.A., LLC Hazel Park, MI Case #: 22-0144-HMI-CE	Offered a hazardous material and failed to label a package. Failed to provide initial general awareness, function specific, safety and security awareness training. Offered hazardous materials, accompanied by a shipping paper that did not include an emergency response telephone number that is monitored 24 hours a day. Failed to secure packages against movement within the vehicle on which they are being transported. Offered a hazardous material with shipping paper that failed to include the proper shipping name, hazard class, and packing group and listed a shipping description that was not in the required sequence. Offered a hazardous material in a package marked with a prohibited marking. Offered a hazardous material in UN standard packaging that had was not closed according to the manufacturer's closure instructions.	172.102(c)(1) Special Provision 422; 172.400(a)(1); 172.447(a) & (b); 172.600(a), (b) & (c); 172.604(a)(1) & (2); 177.800(b); 177.801; 177.834(a); 172.200(a); 172.201; 172.202(a)(1), (2), (3) & (4) & (b); 173.22(a)(1) & (4); 172.300(a); 172.303(a); 173.24(f)(2)	\$17,875
Lincare, Inc. Plymouth, MI Ticket #: 23T-0163-SH-CE	Failed to properly document hazardous materials training.	172.704(d)	\$300
Lone Mountain Aviation Inc. Las Vegas, NV Ticket #: 23T-0122-HM-WE	Offered a hazardous material or otherwise performed a function covered by a special permit or approval without authorization after the special permit expired.	107.101; 107.109(a) & (b); 173.22(a); 173.51(a); SP-10996	\$492.64

Company Name	Violation Summary	Citations	Penalty Amount
Lone Star Super Gas, Inc Kilgore, TX Case #: 22-0234-SH-SW	Failed to provide general awareness, function-specific, safety, security awareness, and in-depth security training. Failed to develop a security plan. Offered a hazardous material with a shipping paper that listed an unauthorized emergency response telephone number.	172.702(a) & (b); 172.704(a)(1), (2), (3), (4), & (5); 172.800(b)(6); 172.802; 172.604(a)	\$12,000
Magne Gas Welding Supply Palestine, TX Case #: 23-0020-SH-SW	Failed to provide function-specific, safety and security awareness training.	172.702(a) & (b); 172.704(a)(2), (3), & (4)	\$2,805
Mauser Packaging Solutions dba BWAYBWAY Trenton, NJ Case #: 22-0203-PM-EA	Failed to ensure a packaging certified as meeting the UN standard can pass the required performance testing (drop test).	178.601(a); 178.603(f)(5)	\$10,125
Mauser Packaging Solutions, dba Industrial Container Solutions – OH, LLC Gahanna, OH Case #: 21-0283-PM-EA 21-0396-PM-EA 21-0393-SH-EA 21-0382-PM-EA	Represented, marked, certified and offered UN standard steel drums, marked to a UN standard, as being reconditioned when the metal drums were not reconditioned. Represented, marked and offered UN standard steel drums as meeting the requirements of the HMR when the package qualification drop test was conducted improperly. Represented, certified and offered a UN standard packaging and failed to conduct design qualification testing. Represented, marked, certified and sold steel drums marked as UN standard steel drums, but the containers were incapable of passing the leakproofness test. Represented, marked, certified and sold steel drums marked UN 1A1 and UN 1A2 as meeting the requirements of the HMR, but failed to ensure the packaging contained proper specification and embossment markings.	173.28(a), (b), (c)(1)(ii), & (d); 178.2(a) & (b); 178.601(a) & (c)(1); 178.603(a); 178.604 & (f); 178.503(a) & (d)	\$29,025

Company Name	Violation Summary	Citations	Penalty Amount
<p>Mauser Packaging Solutions, dba Industrial Container Solutions - MI LLC Wyoming, MI</p> <p>Case #: 21-0397-PM-EA</p>	<p>Represented, certified and offered a UN standard reconditioned drum that did not meet the standards for reconditioning. Represented, marked and offered UN standard steel drums as meeting the requirements of the HMR, but the package qualification drop test was conducted improperly. Represented, remanufactured, marked and offered steel drums marked as UN standard steel drums meeting the requirements of the HMR but the qualification test reports were incomplete and inaccurate.</p>	<p>173.28(b)(4); 178.2(a) & (b); 178.601(a) & (l); 178.603(a); 172.702(a); 172.704(a), (c), & (d)(5)</p>	<p>\$21,525</p>
<p>Mauser USA, LLC Conroe, TX</p> <p>Case #: 23-0082-PM-WE</p>	<p>Failed to ensure a packaging certified as meeting the UN standard could pass the required performance testing.</p>	<p>178.601(b); 178.603; 178.605</p>	<p>\$20,250</p>
<p>McPherson Oil Products Harvey, LA</p> <p>Ticket #: 23T-0002-SH-SW</p>	<p>Offered a hazardous material accompanied by shipping papers that failed to include the correct packing group, list the correct shipping description sequence, or include the required shipper's certification statement and signature, and type of package. Failed to provide initial general awareness, function-specific, safety and security awareness training.</p>	<p>172.202(a)(4), (7), (b), & (c)(1); 172.204(a)(1); 172.702(b); 172.704(a)(1), (2), (3), & (4)</p>	<p>\$2,920</p>
<p>McQueen Propane, Inc. Paris, TX</p> <p>Ticket #: 23T-0197-CR-SW</p>	<p>Failed to provide in-depth security training. Failed to create and retain a record of current training.</p>	<p>172.702(b); 172.704(a) & (d)</p>	<p>\$640</p>
<p>Minneapolis Oxygen Company Minneapolis, MN</p> <p>Ticket #: 23T-0056-SH-CE</p>	<p>Failed to maintain training records.</p>	<p>172.704(d)</p>	<p>\$240</p>
<p>Mississippi Welders Supply Company, Inc. Winona, MN</p> <p>Case #: 23-0095-CR-CE</p>	<p>Represented, certified or marked a DOT specification cylinder as requalified without confirming the accuracy of the test equipment. Represented, certified or marked a cylinder as requalified on a DOT specification cylinder but failed to keep complete and accurate records of cylinder reinspection. Failed to maintain complete DOT specification cargo tank test and inspection reports. Failed to provide recurrent general awareness, function specific, safety and security awareness training.</p>	<p>180.205(g)(1), (3), & (4); CGA Pamphlet C-1, 5.5; 180.209(g); 180.215(b)(2); 180.417(b)(3); 172.702(1); 172.704(a)(1), (2), (3), (4), (5), & (c)(2)</p>	<p>\$7,575</p>

Company Name	Violation Summary	Citations	Penalty Amount
Neuralink Del Valle, TX Case #: 23-0050-SH-SW	Offered a hazardous material in a UN standard packaging that was not closed according to the manufacturer's closure instructions.	173.22(a)(4)(i); 173.24(f)(2)	\$2,000
Neuralink Corp Fremont, CA Ticket #: 23T-0048-SH-WE	Failed to register as an offeror of hazardous materials and pay the registration fee.	107.601(a)(6); 107.608(a) & (b); 107.612(a); 172.504(a), (b), & (c)	\$480
North Coast Container, LLC Cleveland, OH Case #: 21-0359-PM-EA	Represented, marked and offered for sale UN standard steel drums meeting the requirements of the Hazardous Materials Regulations, but the preparation and subsequent testing of the packaging was conducted improperly. Failed to develop and adhere to a transportation security plan. Represented, marked, certified and offered UN standard packaging without properly conducting production leakproofness testing. Failed to include all components necessary for a complete package test report. Failed to provide recurrent hazardous materials training. Failed to notify the Associate Administrator within 20 days of a change in information submitted for its original Approval.	178.602(a); 172.800(b)(6); 178.604(b)(1); 172.704(c)(2); 171.2(b)	\$11,910
Oilflo LLC Kilgore, TX Case #: 22-0249-SH-SW	Failed to provide each hazmat employee with required general awareness, function-specific, safety and security awareness training. Filled, offered, and transported a hazardous material in a non-DOT specification container while failing to comply with the testing and marking requirements of DOT-SP 11947. Offered Class 3 and 8 hazardous materials accompanied by shipping papers that did not conform to the requirements of 49 C.F.R. § 172, Subpart C – Shipping Papers.	172.702(a) & (b); 172.704(a)(1), (2), (3), & (4); 180.352(a) & (b); 172.200(a); 172.202(a)	\$5,925
OSF Healthcare System Escanaba, MI Case #: 23-0037-SI-CE	Failed to provide recurrent general awareness, function specific, safety and security awareness training.	172.702(b); 172.704(a)(1), (2), (3), (4), & (c)(2)	\$3,000

Company Name	Violation Summary	Citations	Penalty Amount
Ottawa Cooperative Association Inc Ottawa, KS Case #: 23-0174-SH-CE	Offered and transported a hazardous material while failing to retain shipping papers. Offered and transported a hazardous material, in 250-gallon intermediate bulk containers (IBC) and discharged the hazardous material from the IBC without removing it from the transport vehicle. Offered a hazardous material with a shipping paper that included an incorrect proper shipping name and incorrect technical name. Offered a hazardous material with a shipping paper that did not include a package type.	172.200(a); 172.201(e); 177.834(h); 172.202(a)(2) & (7); 172.203(k)	\$2,910
Packgen, Inc. Auburn, ME Case #: 21-0169-PM-EA	Failed to mark a competent authority approval on a package. Failed to provide initial and recurrent hazardous material training.	CA2006040035; 172.702(a); 172.704(a) & (c)	\$3,280
Palm Commodities International, LLC La Vergne, TN Case #: 22-0184-SH-CE	Offered by air a liquid hazardous material in a UN certified fiberboard box combination packaging containing inner packaging that was not proven to meet the internal pressure requirements for transportation by aircraft. Offered a hazardous material in a UN standard packaging that was not closed in accordance with the manufacturer's closure instructions. Offered a hazardous material and failed to list required information on a shipping paper. Offered and transported a hazardous material in UN certified IBCs marked UN 11G, with the manufacturer's UN packaging specification markings obstructed. Offered and transported a hazardous material in UN certified jerricans marked UN 3H1/Y and failed to mark the proper shipping name in English on the packages.	173.22(a)(2) & (a)(4)(i); 173.24(c) & (f)(2); 173.27(c); 172.200(a); 172.202(a)(1) & (7); 172.203(k); 172.204; 178.3(a)(1) & (3); 172.300(a); 172.301(a)(1); 172.304(a)(1)	\$5,400
Paraco Gas Corporation & Subsidiaries Waterbury, CT Case #: 22-0291-SH-EA	Filled and offered a DOT specification cylinder with hazardous materials and the cylinder displayed evidence of detrimental rusting and corrosion. Failed to provide cylinder requalification records. Represented, marked and certified DOT specification cylinders as requalified according to the HMR, but failed to maintain complete and accurate daily records of cylinder requalification.	173.301(a)(2); 180.215(b) & (2); 180.209(g)	\$11,130

Company Name	Violation Summary	Citations	Penalty Amount
Perry Propane & Appliance, LLC Nacogdoches, TX Case #: 22-0292-SH-SW	Offered and transported a large bulk quantity of a division 2.1 material and failed to develop a transportation security plan. Failed to provide function-specific, safety, security awareness training, and in-depth security training. Failed to register as an offeror and carrier of hazardous materials and did not pay a registration fee.	172.800(b)(3); 172.802; 172.702(a) & (b); 172.704(a)(2), (3), (4), & (5); 107.601(a); 107.608(b); 172.504(c)	\$8,100
Precision/Master Made Paints, Inc Joplin, MO Case #: 23-0051-SH-CE	Offered a hazardous material in UN certified 1A1 drums with the manufacturer's UN performance standard markings not readily visible. Offered a hazardous material in a UN standard marked and certified packaging that was not closed according to the manufacturer's closure instructions. Failed to provide initial function-specific training and hazmat training records were not being maintained. Offered a hazardous material in a UN standard marked and certified packaging with the UN identification number marking size was under the minimum regulation height requirements.	173.24(c)(1) & (f)(2); 178.503(a); 173.22(a)(4); 172.702(a); 172.704(a)(2) & (d); 172.301(a)(1)	\$5,625
Quinlan Gas, Inc. Poughkeepsie, NY Case #: 21-0411-SH-EA	Failed to develop and adhere to a security plan.	172.802(a)	\$1,050
Ram Inc. McAlester, OK Ticket #: 23T-0198-CR-SW	Failed to maintain the prescribed information on a record for each cylinder requalified or visually inspected. Represented, marked and certified DOT specification cylinders as successfully retested in accordance with the Hazardous Materials Regulations (HMR) but failed to maintain Compressed Gas Association Pamphlet C-6, Standards of Visual Inspection of Steel Compressed Gas Cylinders, and C-6.3 Visual Inspection of Low-Pressure Aluminum Alloy Compressed Gas Cylinders incorporated by reference.	180.215(a)(6) & (b)	\$760
Ranger Energy Services Midland, TX Case #: 23-0007-SH-SW	Failed to develop a written security plan. Failed to provide function-specific, safety, security awareness and in-depth security training. Offered division 1.1 and 1.4 accompanied by shipping papers that failed to include required information.	172.800(b); 172.802(c); 172.702(a) & (b); 172.704(a)(1), (2), (3), (4), & (5); 172.202(a)(5), (7), & (c)(1)	\$10,425

Company Name	Violation Summary	Citations	Penalty Amount
Riverside Paper Supply Co Newport, VA Ticket #: 23T-0003-SH-EA	Failed to provide recurrent hazardous materials training.	172.704(a)(1), (2), (3), (4), & (c)(1)	\$3,000
Rocky Mountain Wireline Services Johnstown, CO Case #: 22-0124-SH-WE	Offered NA0494, jet perforating gun, charged oil well with detonator, 1.4D, that were not examined by a laboratory authorized by the Associate Administer for Hazardous Materials Safety. Failure to conduct explosives classification testing or examined, classed, or approved by any other authorized method. Failed to mark the proper shipping name and identification number on each jet perforating gun. Failed to label the jet perforating gun.	173.51(a); 173.54(a); 173.56(a) & (b); 173.67(a); 177.801; 172.300(a) & (b); 172.301(a); 172.320(a); 172.400(a); 172.411	\$18,000
Rood and Riddle Equine Hospital Lexington, KY Case #: 23-0067-SI-SO	Failed to create and retain training records.	172.702(a); 172.704(a) & (d)	\$600
Sam Brown Sales Company Southfield, MI Case #: 22-0274-SH-CE	Offered and transported a hazardous material without shipping papers, package markings, and labels. Offered and transported a hazardous material in an unauthorized non-UN standard or non-specification packaging; Failed to maintain training records. Offered a hazardous material in a UN standard packaging that was not closed in accordance with the manufacturer's closure instructions.	172.200; 172.300; 172.400; 173.22(a)(2) & (4); 173.24(c) & (f)(2); 173.202(a), (b), & (c); 172.704(d)	\$22,725
SBK International LLC Kennesaw, GA Case #: 23-0069-SH-SO	Offered a compressed gas, a hazardous material in an authorized ISO 11118 cylinder, without installing a pressure relief device. Offered a hazardous material accompanied by a shipping paper lacking the hazardous material basic description in the correct sequence and additional information interspersed within the description.	173.301b(b); 173.304(f)(1); 172.202(b)	\$7,725
SCHAEFFER MFG. CO. St Louis, MO Case #: 23-0097-SH-CE	Offered a hazardous material in a UN standard packaging that was not closed in accordance with the manufacturer's closure instructions. Failed to provide general awareness, function specific, safety, and security awareness training.	173.22(a)(4); 173.24(f)(2); 172.702(a); 172.704(a)(1), (2), (3), (4), (c)(1), (2), & (d)	\$3,375

Company Name	Violation Summary	Citations	Penalty Amount
SGAmmo, LLC Stillwater, OK Case #: 22-0165-HM-SW	Offered hazardous materials packaged in unauthorized limited quantity packages. Failed to provide general awareness, function-specific safety and security awareness training. Failed to create and provide the motor carrier with hazardous material shipping papers.	173.60(a); 173.62 Packing Instruction #130; 173.63(b); 172.702(b); 172.704(a)(1), (2), (3), & (4); 172.200(a)	\$12,362
Speciality Intermediates Inc Oklahoma City, OK Ticket #: 23T-0111-SH-SW	Failed to register as an offeror of hazardous materials and pay the registration fee.	107.601(a); 107.608(b); 172.504(c)	\$960
Spectrum Adhesives, Inc. Memphis, TN Case #: 23-0072-SH-SO	Failed to register as an offeror of hazardous materials. Failed to provide general awareness, function-specific, safety, and security awareness training.	107.601(a)(6); 172.504; 107.608(a) & (b); 172.702(a); 172.704(a) & (c)	\$6,000
Stantec Consulting Services Inc. Lexington, KY Ticket #: 23T-0065-SH-SO	Failed to retain shipping papers.	172.201(e)	\$480
STS Recycling, LLC Jacksonville, TX Case #: 22-0181-SI-SW	Offered UN 3480, Lithium-ion batteries, 9, PG I (damaged, defective) without hazmat shipping papers, package labels, package markings or authorized packaging, thus creating an undeclared shipment of hazardous materials. Failed to provide general awareness, function-specific, safety and security awareness training.	172.200; 172.300; 172.400; 173.185(f); 172.702(b); 172.704(a)(1), (2), (3) & (4)	\$10,800
Suburban Propane Lynchburg, VA Ticket #: 23T-0012-CR-EA	Failed to properly mark a condemned cylinder or render it incapable of holding pressure. Failed to keep complete and accurate records of cylinder reinspection and retests.	180.205(i)(2); 180.215(b)	\$4,350
Summit Fire Protection Co. Fargo, ND Case #: 23-0094-CR-CE	Represented, certified or marked a DOT specification cylinder as requalified, without confirming the accuracy of the test equipment. Failed to calibrate testing equipment in accordance with CGA C-1. Failed to keep complete and accurate records of cylinder reinspection and retest. Failed to maintain training records.	180.205(g)(1), (3), & (4); CGA Pamphlet C-1, 5.5; 180.215(b)(2); 172.702(a); 172.704(d)	\$5,382

Company Name	Violation Summary	Citations	Penalty Amount
Sun Chemical Corporation Colonial Heights, VA Ticket #: 23T-0054-SH-CE	Offered a hazardous material in a UN standard packaging that was not closed according to the manufacturer's closure instructions.	173.22(a)(4)(i); 173.24(f)(2)	\$1,000
Syntech Chemical Inc. Houston, TX Ticket #: 23T-0001-SH-SW	Failed to provide initial general awareness, function-specific, safety and security awareness training.	172.702(b); 172.704(a)(1), (2), (3), & (4)	\$1,600
T.W. Smith Corp. Brooklyn, NY Ticket #: 23T-0099-SH-EA	Retested DOT cylinders without approval and represented them as meeting HMR requirements.	180.205(b)	\$1,570
The Hartford Steam Boiler Inspection and Insurance Company Hartford, CT Case #: 21-0390-PM-EA	Failed to ensure as the DAA, that the initial leakage test was performed on a UN portable tank during manufacturing. Failed to ensure, as the DAA, that a UN T50 portable tank was marked with the part 178 specification information.	107.404(c); 178.273(b)(3); 178.274(j)(1), (2), & (4)	\$8,960
The Sherwin-Williams Company Albuquerque, NM Ticket #: 22T-0143-PM-CE	Transported a hazardous material in a quantity that requires placarding without utilizing a driver with an appropriate Commercial Driver's License (CDL) with a hazmat endorsement. Offered and transported a hazardous material and failed to placard a vehicle. Offered and transported a hazardous material in a UN standard marked and certified packaging that had not been closed in accordance with the manufacturer's closure instructions. Represented and offered a UN certified packaging, while failing to notify persons to whom the packaging is transferred of all the UN packaging requirements, including closure instructions needed to satisfy and maintain performance test requirements; Failed to maintain training records.	177.800(a); 177.804(a); 383.93(b)(4); 172.504; 173.22(a)(4); 173.24(f)(2); 178.2(c); 172.704(d)	\$10,129.98
The Sherwin-Williams Company Columbus, OH Case #: 23-0105-SH-EA	Failed to provide initial hazmat employees in general awareness, safety, and security awareness training. Offered a hazardous material in a UN standard marked and certified packaging that was not closed according to the manufacturer's closure instructions.	172.702(a); 172.704(a); 173.1(b); 173.22(a)(4); 173.24(f)	\$3,750

Company Name	Violation Summary	Citations	Penalty Amount
The Sherwin-Williams Company Rochester, NY Case #: 23-0106-SH-EA	Failed to provide initial function-specific and safety training. Offered a hazardous material in a UN standard marked and certified packaging that was not closed according to the manufacturer's closure instructions.	172.702(a); 172.704(a); 173.1(b); 173.22(a)(4); 173.24(f)	\$2,250
TPW Inc. Laredo, TX Case #: 23-0036-SH-SW	Failed to provide initial general awareness, function-specific, safety and security awareness training.	172.702(b); 172.704(a)	\$2,700
Trimark Strategic LLC Knoxville, TN Case #: 23-0168-SH-SO	Offered and transported UN1791, Hypochlorite Solutions (Containing 10% Sodium Hypochlorite), 8, PG III, and failed to provide hazardous materials shipping papers, including failure to provide an emergency response telephone number.	172.200; 172.201(d); 172.202; 172.604	\$2,775
Tropigas de Puerto Rico, Inc. Bayamon, PR Case #: 21-0280-SH-SO 21-0281-SH-SO 21-0282-SH-SO	Filled and offered US DOT 4-series and ICC specification cylinders with a compressed gas, UN1075, Propane, 2.1, and cylinders that were out of test. Failed to provide hazardous materials shipping papers.	173.301(a)(6); 180.205(c); 172.200(a); 172.201(d); 172.202	\$42,750
Twin City Concrete Products Kansas City, MO Ticket #: 23T-0176-SH-CE	Failed to register as a shipper of hazardous materials with PHMSA.	107.601(a)(6); 107.608(a) & (b); 107.612	\$1,400
Tyco Fire Products LP Marinette, WI Case #: 23-0063-CM-SO	Filled and offered a hazardous material contained in a non-specification fire extinguisher cylinder, exceeding the permissible maximum internal capacity for the hazardous material. Failed to provide recurrent general awareness, function-specific, safety, and security awareness training.	173.115(b) & (e); 173.309(c)(2); 172.702(a) & (b); 172.704(c)(1) & (2)	\$9,525
Ultimate Fumigation & Pest Control Hollywood, FL Ticket #: 23T-0011-SH-CE	Failed to mark and label an overpack. Failed to provide initial and recurrent in-depth security plan training. Failed to maintain training records at the principal place of business for a period of three years from the date of issuance of each certificate of registration.	173.25(a)(2), (3), & (4); 172.702; 172.704(a)(5) & (d); 107.620(a)(2)	\$2,960

Company Name	Violation Summary	Citations	Penalty Amount
Ultralife Corporation Newark, NY Case #: 22-0238-SH-EA	Failed to develop and adhere to a transportation security plan. Offered a hazardous material in a UN standard marked and certified packaging that was not closed in accordance with the manufacturer's closure instructions. Offered a low production run and prototype lithium battery for transportation in commerce with a shipping paper that was prepared improperly.	172.800(b)(6); 173.22(a)(4)(i); 173.185(e)(8)	\$11,920
United Soil Science Delta, UT Ticket #: 22T-0094-HM-WE	Accepted and transported UN3018, Organophosphorus pesticides, liquid, toxic, (Chlorpyrifos/Xylene), 6.1, PG III, with no shipping paper. Failed to placard a freight container or vehicle. Failed to provide general awareness, function specific, safety, and security awareness training.	172.200(a); 177.801; 177.817(a); 172.500(a); 172.504(a); 172.702(a) & (b); 172.704(a), (1), (2), (3), (4), & (c)(1); 177.800(a), (b), & (c)	\$4,680
Universal Woods, Incorporated Louisville, KY Case #: 22-0252-SH-CE	Offered a hazardous waste in a UN certified 1A2 drums without shipping papers, markings, or labels. Failed to provide initial general awareness, function-specific, safety, and security awareness training.	172.200; 172.300; 172.400; 172.702(a); 172.704(a)(1), (2), (3), (4), (c)(1), (2), & (d)	\$13,875
UNX Chemicals Greenville, NC Case #: 23-0025-SH-SO	Offered a hazardous material and failed to label a package or apply a label representing a hazard other than the hazard presented by the hazardous material in the package.	172.400(a); 172.401	\$5,250
Vantage Point ITAD, LLC Oklahoma City, OK Case #: 22-0248-SH-SW	Offered hazardous materials packaged in unauthorized non-specification fiberboard boxes. Offered lithium batteries pursuant to the provisions for smaller cells or batteries while failing to mark or place a "CARGO AIRCRAFT ONLY" label on the package.	173.24(b); 173.185(c)(1)(iii) & (d); 172.448	\$12,150
Velocity Firearms Fredericksburg, VA Case #: 22-0136-SH-EA	Failed to package ammunition shipped under the limited quantity exception, in interior boxes, or in partitions that fit snugly in outside packaging or in metal clips. Failed to provide hazmat training.	173.63(b)(2)(i); 172.704(a)(1), (2), (3), & (4)	\$8,320
Vertical Skyline Taylor, MI Case #: 23-0155-SH-CE	Offered a hazardous material, while failing to mark the package with the proper shipping name. Failed to register as an offeror of hazardous materials. Offered a hazardous material, while failing to include the required technical name in parentheses for a listed generic or "N.O.S." entry on the package marking.	172.300(a); 172.301(a)(1) & (b); 107.601(a)(6); 172.504(c) & (e); 107.608(a) & (b); 172.203(k)	\$2,475

Company Name	Violation Summary	Citations	Penalty Amount
Waukomis Co-Op Waukomis, OK Case #: 22-0190-SH-WE	Offered and transported UN1005, Ammonia anhydrous, 2.2, in a ASME non-specification nurse tank with an illegible plate and failed to have cargo tank tested for Pressure, Thickness, and External Visual inspection. Offered UN2922, Corrosive Liquid, NOS (Paraguay Dichloride), 8, (6.1), PG III and failed to provide a shipping paper for a shipment of hazardous materials.	173.33(a)(3); 173.315(m)(2)(iv); 180.407(a)(1) & (c); 180.409(d); 172.200(a) 172.201(a)	\$6,300
Z Specialty Products, Inc. St Louis, MO Ticket #: 23T-0022-SH-CE	Failed to provide recurrent hazmat training. Offered a hazardous material in a UN standard marked and certified packaging that was not closed in accordance with the manufacturer's closure instructions.	172.702(a); 172.704(c); 173.22(a)(4); 173.24(f)(2)	\$3,040
Zim Chemical Company Inc Smyrna, GA Case #: 23-0070-SH-SO	Offered a hazardous material in a UN standard marked and certified packaging that was not closed in accordance with the manufacturer's closure instructions.	173.22(a)(4); 173.24(b) & (f)(2)	\$750