

**Pipeline and Hazardous Materials Safety Administration
Hazardous Materials Registration Program Summary Report**

The Hazardous Materials Emergency Preparedness Fund (“the Fund”) is authorized by 49 U.S.C. § 5116(h) and is funded using the statutorily required registration fees collected from hazardous materials (hazmat) shippers and carriers who transport or otherwise offer for transportation certain hazmat in intrastate, interstate, or foreign commerce. 49 U.S.C. § 5108. The statute then authorizes the Secretary of Transportation to use the Fund to provide certain emergency preparedness grants, publish an Emergency Response Guide, and to provide monitoring and technical assistance to state, tribal, and local entities. 49 USC § 5116(h). Each registration year (RY) is the one-year period from July 1 to June 30. The fiscal year (FY) is the accounting period for the federal government that begins on October 1 and ends on September 30 of each year.

The Registration Fee Receipt Account is maintained at a level sufficient to fund current needs. Current needs include the amounts necessary to fund the return of prepaid fees. Below is a schedule of available fund balance that indicates \$5,863,555 is available for planned uses in FY 2024:

Pipeline and Hazardous Materials Safety Administration
Schedule of Available Fund Balance as of 9/30/2023¹

	FY 2021	FY 2022	FY 2023
Beginning Fund Balance (10/1)	\$67,657,178	\$74,142,310	\$77,226,900
Plus: Fees collected	27,891,124	26,453,675	26,324,175
Less: Payments (Net)	(20,874,592)	(22,784,360)	(28,246,797)
Less: Processing fees transferred to Treasury annually	(531,400)	(584,725)	(572,600)
Ending Fund Balance	\$74,142,310	\$77,226,900	\$74,731,678
Obligations			
Obligations including awards	(61,149,538)	(62,783,282)	(62,565,705)
Recoveries of unused awards	5,371,869	777,693	4,158
Obligations Net of Recoveries	(\$55,777,669)	(\$62,005,589)	(\$62,561,547)
Available Fund Balance	\$18,364,641	\$15,221,310	\$12,170,130
Less: Reg Fees Received in Advance	(7,295,550)	(6,288,275)	(6,306,575)
Available Fund Balance	\$11,069,091	\$8,933,035	\$5,863,555

Of the available fund balance on September 30, 2023, the program plans to carry the entire balance forward into FY 2024 for the following uses:

Pipeline and Hazardous Materials Safety Administration
Schedule of Available Fund Balance After Planned Uses
FY 2024

¹ The numbers in this table reflect data pulled from the Delphi financial system, PHMSA official system of records.

Available Fund Balance 10/1/2023	\$5,863,555
Less Planned Uses	
Hazardous Materials Instructor Training (HMIT) Grants	(4,000,000)
Available Fund Balance After Planned Uses	\$1,863,555

The following table shows the count of registrations and total registration fee collections for (registration and processing fees) in FY 2023

Schedule of Registration Collections
FY 2023

<i>Number of registrations</i>	<i>35,181</i>
Total collections	\$ 26,324,175
Less: Processing Fees	(572,600)
Net Collections	\$ 25,751,575

The following table shows the number of registrations and total collections for registrations and processing fees from the hazardous materials registrants from program inception (FY 1992 through FY 2023).

**Schedule of Registration Collections since Program Inception
FY 1992 through FY 2023**

<i>Number of Registrations</i>	<i>937,016</i>
Total collections	\$592,879,022

The registrant completes a short survey with each registration. The survey information is used to record the hazardous materials activities during the prior calendar year. The registrant should indicate that it “Did Not Engage in Any of the Activities” if it did not engage in any of these activities. The registrant also indicates whether the hazardous materials were handled as a Shipper, Carrier, or Other. The category of “other” includes registrants (other than the shipper or carrier) who are responsible for performing pre-transportation functions required by the Hazardous Materials Regulation (*e.g.*, freight forwarders, package consolidators):

**Schedule of Registrants That Included Survey Information
FY 2023**

<i>Total Count of registrations (Registrations that include any survey information)</i>	<i>22,893</i>
*Count that marked “Shipper”	14,569
Small business	10,286
Not small business	4,283
*Count that marked “Carrier”	10,219
Small business	8,175
Not small business	2,044
**Count of that marked “Other”	1,341
Small business	952
Not small business	389

* Number includes 2,567 that marked both “Carrier” and “Shipper”

** Number includes 670 that also marked “Carrier” or “Shipper” or both

Registrants pay a different rate depending on their self-reported classification as a Small or Large Business Company. Below is a summary of fees collected by business type of and in total.

Schedule of Registration Collections Received by Business Type and RY

FY 2023

	Total Number of Registrants	Percentage	Registration Collections	Processing Fees
Fee Collections in FY 2023 - Small Businesses (or Not-for-profit)				
Collections Received for Current RY (2023-2024)	15,688	56%	\$3,990,175	\$362,900
Prior Years Late Registrations Received in Current RY (2023-2024)	3,703	11%	\$788,100	\$70,475
Collections Received for Future RY (2024-2025)	5,432	19%	\$1,384,075	\$0
Collections Received for Future RY (2025-2026)	3,695	13%	\$946,750	\$0
Small Business Total	27,888	100%	\$7,109,100	\$433,375
Fee Collections in FY 2023 -Other than Small Businesses/Large Business				
Collections Received for Current RY (2023-2024)	5,260	72%	\$13,513,550	\$127,625
Prior Years Late Registrations Received in Current RY (2023-2024)	553	7%	\$1,407,150	\$11,775
Collections Received for Future RY (2024-2025)	867	11%	\$2,216,250	\$0
Collections Received for Future RY (2025-2026)	613	8%	\$1,569,175	\$0
Other Than Small Businesses Total	7,293	100%	\$18,706,125	\$139,400
Combined Fee Collections in FY 2023 (Small and Large Business)				
Collections Received for Current RY (2023-2024)	20,948	59%	\$17,503,725	\$490,525
Prior Years Late Registrations Received in Current RY (2023-2024) ²	3,626	10%	\$2,195,250	\$82,250
Collections Received for Future RY (2024-2025)	6,299	17%	\$3,600,325	\$0
Collections Received for Future RY (2025-2026)	4,308	12%	\$2,515,925	\$0
Total RY 2023-2024 Collections	35,181	100%	\$25,815,225	\$572,775

² Often times in registering, a company will pay arrears in registration fees.