

Pipeline and Hazardous Materials Safety Administration 1200 New Jersey Avenue, SE Washington, DC 20590

August 21, 2024

Frank Lopez Regulatory Compliance Specialist Council on Safe Transportation of Hazardous Articles 10 Hunter Brook Lane Queensbury, NY 12804

Reference No. 24-0037

Dear Mr. Lopez:

This letter is in response to your May 28, 2024, letter requesting clarification of the Hazardous Materials Regulations (HMR; 49 CFR Parts 171-180) applicable to the shipping paper requirements for air transportation. As an example, you state that an air operator accepts a shipment addressed to a single consignee and the shipment consists of multiple packages from a single consignor. Additionally, you state that in the situation where the shipment is separated and transported onto two different flights, a properly annotated shipping paper will accompany both shipments. You ask whether § 175.33(c)(1)(i) requires a copy of the shipping paper to accompany each individual package in this shipment, or whether a single shipping paper can be used to meet the requirements of this section.

Section 175.33(c)(1)(i) requires that an aircraft operator "[e]nsure a copy of the shipping paper required by § 175.30(a)(2) accompanies the shipment it covers during transportation aboard the aircraft." This requirement may be met with a single copy of the applicable shipping paper for a shipment of packages tendered by a single consignor and addressed to a single consignee. Additionally, when a shipment is separated and transported onto two different flights, the requirement in § 175.33(c)(1)(i) may also be met with a properly annotated shipping paper accompanying both shipments.

I hope this information is helpful. Please contact us if we can be of further assistance.

Sincerely,

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Eamonn Patrick Acting Chief, Regulatory Review and Reinvention Branch Standards and Rulemaking Division

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From:	INFOCNTR (PHMSA)
То:	Hazmat Interps
Subject:	FW: COSTHA Letter of Interpretation Request to confirm our understanding of the provisions in 175.33(c)(1)(i)
Date:	Tuesday, June 4, 2024 10:12:55 AM
Attachments:	image001.png
	COSTHA LOI 175.33 shipping paper for consignments.pdf

Please see attached request for letter of interpretation.

Thanks, Jonathon, HMIC

From: Frank Lopez <frank@costha.com>

Sent: Tuesday, May 28, 2024 3:40 PM

To: Kelley, Shane (PHMSA) <shane.kelley@dot.gov>

Cc: INFOCNTR (PHMSA) <INFOCNTR.INFOCNTR@dot.gov>; L'Gena Shaffer <Lgena@costha.com>; Chris Yakush <Chris@costha.com>; Tom Ferguson <Tom@costha.com>; Julie Prescott

<Julie@costha.com>; Anne Barry <anne@costha.com>

Subject: COSTHA Letter of Interpretation Request to confirm our understanding of the provisions in 175.33(c)(1)(i)

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Dear Mr. Shane Kelley,

Attached please find COSTHA's request for a letter of interpretation to confirm our understanding that the provisions in \$175.33(c)(1)(i) require a single copy of the shipping paper to accompany a "consignment" or shipment of multiple pieces of hazardous materials.

Please contact me if you should have any questions or need any additional information.

We appreciate your consideration.

Best regards, Frank

Frank Lopez Regulatory Compliance Specialist COSTHA Office: 518.761.0668 xt 222 http://www.costha.com frank@costha.com

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> General Counsel Richard Schweitzer, PLLC

May 28, 2024

Mr. Shane Kelley Director, Standards and Rulemaking Division Pipeline and Hazardous Materials Safety Administration Attn: PHH-10 U.S. Department of Transportation East Building, 1200 New Jersey Ave., SE Washington, D.C. 20590-0001 Submitted: Via Email cc: infocntr@dot.gov

Dear Mr. Kelley,

The Council on Safe Transportation of Hazardous Articles (COSTHA) requests a formal letter of interpretation on behalf of the air carrier roundtable regarding the requirements of \$175.33(c)(1)(i). COSTHA member airlines have experienced differences in interpretation regarding the requirement to ensure a copy of a shipping paper accompanies a shipment it covers during transportation aboard an aircraft.

COSTHA is a not-for-profit organization representing manufacturers, shippers, distributors, carriers, freight forwarders, trainers, packaging manufacturers and other associated with the hazardous materials transportation industry. In addition to promoting regulatory compliance and safety in hazardous materials transportation, COSTHA assists its members and the public in evaluating the practicality and efficacy of laws, rules and regulations for the safe transportation and distribution of hazardous materials. COSTHA is also pleased to have 17 air carriers included in our membership.

The 49 CFR Subpart A, Part 175, §175.33(c)(1)(i) currently reads:

Ensure a copy of the shipping paper required by $\frac{175.30(a)(2)}{2}$ accompanies the shipment it covers during transportation aboard the aircraft.

COSTHA requests this interpretation confirm our understanding that the requirement of §175.33(c)(1)(i) aligns with the requirements of the International Civil Aviation Organization (ICAO) Technical Instructions on the Safe Transport of Dangerous Goods by Air (TI) in that, one shipping paper will accompany the entire consignment versus a copy of the shipping paper be provided for each individual package *within* the shipment. The ICAO Technical Instructions reference a "consignment" vs. the HMR stating "shipment".

The ICAO Technical Instructions (Doc 9284) 2023-2024 Ed states:

Part 7, Chapter 1, 1.2.2 - Where a dangerous goods transport document is provided in accordance with 1.2.1 a), one copy must accompany the consignment to final destination and one copy must be retained by the operator at a location on the ground where it will be possible to obtain access to it within a reasonable period; the document must be retained at this point until the goods have arrived at final destination, after which time it may be stored elsewhere.

The ICAO Technical Instructions define:

Consignment One or more packages of dangerous goods accepted by an operator from one shipper at one time and at one address, receipted for in one lot and moving to one consignee at one destination address.

Shipment The specific movement of a consignment from origin to destination.

Example

A shipment containing 10 packages as a single *consignment* accepted by an operator from a single shipper at one time and at one address, receipted for as a single lot and moving to a single consignee at a single destination address, would require only one shipping paper on the *"number one"* piece.

COSTHA members have experienced instances where Federal Aviation Administration (FAA) inspectors or Principal Hazmat Inspectors (PHIs) interpreted the requirement of \$175.33(c)(1)(i) to require a copy of the shipping paper for each individual package within the consignment or shipment. COSTHA member airlines do not believe this to be the requirement or intent of \$175.33(c)(1)(i).

COSTHA member airlines believe the inclusion of a copy of the shipping papers for every piece of a multi-piece shipment would cause undue burden on the carrier through time and resources required, is contrary to standard procedures aligned with international regulations, and provides no added safety benefit. However, in the situation where a multi-piece shipment must be split for accommodation purposes during transportation, a second shipping paper, properly annotated, will accompany the spilt pieces.

In closing, COSTHA asks PHMSA to confirm our understanding that the provisions in §175.33(c)(1)(i) require a single copy of the shipping paper to accompany a "consignment" or shipment of multiple pieces of hazardous materials.

COSTHA appreciates your review of this interpretation request, and we look forward to hearing from you soon.

Sincerely,

Frank Lopez Regulatory Compliance Specialist