

**Pipeline and Hazardous Materials Safety Administration
Hazardous Materials Registration Program Summary Report**

The Pipeline and Hazardous Materials Safety Administration's (PHMSA), Hazardous Materials Emergency Preparedness Grant Program (the program) is funded by registration fees collected from hazardous materials (hazmat) shippers and carriers who offer for transportation or transport certain hazmat in intrastate, interstate, or foreign commerce. These fees fund training and planning grants, monitoring and technical assistance, curriculum development, the e design and publication of the Emergency Response Guidebook, and staffing costs. Federal hazardous material transportation law (49 U.S.C. 5101 et seq., federal hazmat law) requires offerors and transporters of certain hazardous materials to file a registration statement and to pay an annual registration fee. Each registration year (RY) is the one-year period from July 1 to June 30. The fiscal year (FY) is the accounting period for the federal government that begins on October 1 and ends on September 30.

PHMSA maintains a fund balance in the Registration Fee receipt account at a level sufficient to fund current needs. Current needs include the amount needed to fund the return of prepaid fees. Below is a schedule of available fund balance that indicates \$8,933,035 is available for planned uses in FY 2023:

Pipeline and Hazardous Materials Safety Administration
Schedule of Available Fund Balance as of 9/30/2022¹

	FY 2020	FY 2021	FY 2022
Beginning Fund Balance (10/1)	\$73,800,008	\$67,657,178	\$74,142,310
Plus: Fees collected	24,006,925	27,891,124	26,453,675
Less: Payments (Net)	(29,074,507)	(20,874,592)	(22,784,360)
Less: Processing fees transferred to Treasury annually	(1,075,248)	(531,400)	(584,725)
Ending Fund Balance	67,657,178	74,142,310	77,226,900
Obligations			
Obligations including awards	(53,684,508)	(61,149,538)	(62,783,282)
Recoveries of unused awards	236,910	5,371,869	777,693
Obligations Net of Recoveries	(53,447,598)	(55,777,669)	(62,005,589)
Available Fund Balance	14,209,580	18,364,641	15,221,310
Less: Reg Fees Received in Advance	(1,985,050)	(7,295,550)	(6,288,275)
Available Fund Balance	\$12,224,530	\$11,069,091	\$8,933,035

¹ The numbers in this table reflect data pulled from the Delphi financial system, PHMSA official system of records.

Of the available fund balance on September 30, 2022, the program plans to carry the entire balance forward into FY 2023 for the following uses:

Pipeline and Hazardous Materials Safety Administration
Schedule of Available Fund Balance After Planned Uses
FY 2023

Available Fund Balance 10/1/2022	8,933,035
Less Planned Uses by September 30, 2023	
Hazardous Materials Instructor Training (HMIT) Grants	(4,000,000)
Supplemental Public Sector Training (SPST) Grants	(1,000,000)
Grants Program Costs	(1,500,000)
Available Fund Balance After Planned Uses	\$2,433,035

The following table shows the count of registrations and total registration fee collections for (registration and processing fees) in FY 2022

Schedule of Registration Collections
FY 2022

<i>Number of registrations</i>	36,115
Total collections	\$ 26,453,675
Less: Processing Fees	(576,750)
Net Collections	\$ 25,876,925

The following table shows the number of registrations and total collections for registrations and processing fees from the hazardous materials registrants from program inception (FY 1992 through FY 2022).

Schedule of Registration Collections since Program Inception
FY 1992 through FY 2022

<i>Number of Registrations</i>	900,933
Total collections	566,449,247

Along with each registration, the registrant completes a short survey. The survey information is used to record the hazardous materials activities during the prior calendar year. If a person did not engage in any of these activities, then they indicate “Did Not Engage in Any of the Activities”. The registrant also indicates whether the hazardous materials were handled as a Shipper, Carrier or Other. “Other” are registrants (other than the shipper or carrier) who are responsible for performing pre-transportation functions required by Hazardous Materials Regulations (ex. freight forwarders, package consolidators, etc.):

Schedule of Registrants That Included Survey Information

FY 2022

<i>Total Count of registrations (Registrations that include any survey information)</i>	23,052
*Count that marked “Shipper”	14,548
Small business	10,402
Not small business	4,146
*Count that marked “Carrier”	10,510
Small business	8,504
Not small business	2,006
**Count of that marked “Other”	1,425
Small business	1,047
Not small business	378

* Number includes 3,024 that marked both “Carrier” and “Shipper”

** Number includes 902 that also marked “Carrier” or “Shipper” or both

Registrants pay a different rate depending on their self-reported classification as a Small or Large Business Company. Below is a summary of fees collected by business type of and in total.

Schedule of Registration Collections Received by Business Type and RY

FY 2022

	Total Number of Registrants	Percentage	Registration Collections	Processing Fees
Fee Collections in FY 2022 - Small Businesses (or Not-for-profit)				
Collections Received for Current RY (2022-2023)	15,879	54.98%	4,048,400	362,800
Prior Years Late Registrations Received in Current RY (2022-2023)	3,295	11.41%	826,700	76,250
Collections Received for Future RY (2023-2024)	5,968	20.67%	1,503,400	0
Collections Received for Future RY (2024-2025)	3,737	12.94%	943,550	0
Small Business Total	28,879	100%	\$7,322,050	\$439,050
Fee Collections in FY 2022 -Other than Small Businesses/Large Business				
Collections Received for Current RY (2022-2023)	5,200	71.86%	13,404,225	126,200
Prior Years Late Registrations Received in Current RY (2022-2023)	500	6.91%	1,288,225	11,450
Collections Received for Future RY (2023-2024)	920	12.71%	2,312,950	0
Collections Received for Future RY (2024-2025)	616	8.51%	1,546,675	0
Other Than Small Businesses Total	7,236	100%	\$18,552,075	\$137,650
Combined Fee Collections in FY 2022 (Small and Large Business)				
Collections Received for Current RY (2022-2023)	21,079	58.37%	17,452,625	489,000
Prior Years Late Registrations Received in Current RY (2022-2023)	3,795	10.51%	2,114,925	87,700
Collections Received for Future RY (2023-2024)	6,888	19.07%	3,816,350	0
Collections Received for Future RY (2024-2025)	4,353	12.05%	2,490,225	0
Total RY 2021-2022 Collections	36,115	100%	25,874,125	\$576,700

Oftentimes in registering, a company will pay arrears in registration fees. In FY 2022, we collected \$2,114,925 in prior year registration fees as displayed by year below:

Schedule of Registration Collections for Prior RYs

FY 2022

<i>Registration Years</i>	<i>Number of Registrants</i>	<i>Registration Collections</i>	<i>Processing Fee</i>
2009-2010	2	\$1,225	\$50
2010-2011	2	\$2,825	\$25
2011-2012	3	\$3,075	\$25
2012-2013	2	\$500	\$0
2013-2014	3	\$375	\$50
2014-2015	5	\$3,575	\$75
2015-2016	10	\$9,475	\$150
2016-2017	19	\$14,050	\$300
2017-2018	25	\$17,875	\$250
2018-2019	42	\$22,125	\$650
2019-2020	78	\$59,025	\$1,225
2020-2021	201	\$126,975	\$3,400
2021-2022	3,403	\$1,853,825	\$81,500
Prior Years Fees Received	3,795	2,114,925	\$87,700