

**Pipeline and Hazardous Materials Safety Administration
Hazardous Materials Registration Program Summary Report**

The Pipeline and Hazardous Materials Safety Administration's (PHMSA), Hazardous Materials Emergency Preparedness Grant Program (the program) is funded by registration fees collected from hazardous materials (hazmat) shippers and carriers who offer for transportation or transport certain hazmat in intrastate, interstate, or foreign commerce. These fees fund training and planning grants, monitoring and technical assistance, curriculum development, the design and publication of the Emergency Response Guidebook, and staffing costs. Federal hazardous material transportation law (49 U.S.C. 5101 et seq., federal hazmat law) requires offerors and transporters of certain hazardous materials to file a registration statement and to pay an annual registration fee. Each registration year (RY) is the one-year period from July 1 to June 30. The fiscal year (FY) is the accounting period for the federal government that begins on October 1 and ends on September 30.

PHMSA maintains a fund balance in the Registration Fee receipt account at a level sufficient to fund current needs. Current needs include the amount needed to fund the return of prepaid fees. Below is a schedule of available fund balance that indicates \$12,107,513 is available for planned uses in FY 2022:

Pipeline and Hazardous Materials Safety Administration
Schedule of Available Fund Balance as of 9/30/2021

	FY 2019	FY 2020	FY 2021
Beginning Fund Balance (10/1)	\$70,424,654	\$73,800,008	\$68,172,910
Plus: Fees Collected	25,632,980	23,414,250	27,891,124
Less: Payments	(22,257,626)	(29,041,348)	(20,883,302)
Ending Fund Balance	73,800,008	68,172,910	75,180,732
Obligations			
Obligations including awards	(59,193,778)	(53,684,508)	(61,149,538)
Recoveries of unused awards	2,353,686	236,910	5,371,869
Obligations Net of Recoveries	(56,840,092)	(53,447,598)	(55,777,669)
Available Fund Balance	16,959,916	14,725,312	19,403,063
Less: Reg Fees Received in Advance	(8,810,450)	(1,985,050)	(7,295,550)
Available Fund Balance	\$8,149,466	\$12,740,262	\$12,107,513

Of the available fund balance on September 30, 2021, the program plans to carry the entire balance forward into FY 2022 for the following uses:

Pipeline and Hazardous Materials Safety Administration	
Schedule of Available Fund Balance After Planned Uses	
FY 2021	
Available Fund Balance 10/1/2021	\$12,107,513
Less Planned Uses by September 30, 2022	
Hazardous Materials Emergency Preparedness (HMEP) Grants	(24,374,601)
Hazardous Materials Instructor Training (HMIT) Grants	(5,592,831)
Supplemental Public Sector Training (SPST) Grants	(1,000,000)
Assistance for Local Emergency Response (ALERT) Grants	(500,000)
FY 2022 Reserve for Publication of Emergency Response Guidebook	(625,000)
FY 2021 Reserve for Publication of Emergency Response Guidebook	(625,000)
Other Program Administration	(885,496)
Total Planned Uses by September 30, 2022	(33,602,928)
Available After Planned Uses	(\$21,495,415)

Planned uses include awards made for FY 2022. These awards will be made prior to September 30, 2022 and will be funded in part by collections through September 30, 2022. Collections expected are between \$22,000,000 and \$28,000,000 to fund the expected need of \$21,495,415 shown above.

The following table shows the count of registrations and total registration fee collections for (registration and processing fees) in FY 2021

Schedule of Registration Collections
FY 2021

<i>Number of registrations</i>	38,056
Total collections	\$ 27,841,125
Less: Processing Fees	(584,575)
Net Collections	\$ 27,256,550

The following table shows the number of registrations and total collections for registrations and processing fees from the hazardous materials registrants from program inception (FY 1992 through FY 2021).

**Schedule of Registration Collections since Program Inception
FY 1992 through FY 2021**

<i>Number of Registrations</i>	<i>864,818</i>
Total collections	539,998,422

Along with each registration, the registrant completes a short survey. The survey information is used to record the hazardous materials activities during the prior calendar year. If a person did not engage in any of these activities, then they indicate “Did Not Engage in Any of the Activities”. The registrant also indicates whether the hazardous materials were handled as a Shipper, Carrier or Other. “Other” are registrants (other than the shipper or carrier) who are responsible for performing pre-transportation functions required by Hazardous Materials Regulations (ex. freight forwarders, package consolidators, etc.):

**Schedule of Registrants That Included Survey Information
FY 2021**

<i>Total Count of registrations (Registrations that include any survey information)</i>	<i>23,348</i>
*Count that marked “Shipper”	14,812
Small business	10,638
Not small business	4,174
*Count that marked “Carrier”	10,923
Small business	8,846
Not small business	2,077
**Count of that marked “Other”	1,539
Small business	1,032
Not small business	507

* Number includes 3,024 that marked both “Carrier” and “Shipper”

** Number includes 902 that also marked “Carrier” or “Shipper” or both

Registrants pay a different rate depending on their self-reported classification as a Small or Large Business Company. Below is a summary of fees collected by business type of and in total.

**Schedule of Registration Collections Received by Business Type and RY
FY 2021**

	Number of Registrants	Percentage	Registration Collections	Processing Fees
Small Businesses (or Not-for-profit)				
Current RY (2021-2022)	16,304	53.66%	4,103,000	375,475
Prior Years Late Registrations (2021-2022)	3,020	9.94%	755,650	68,900
Future RY (2022-2023)	6,458	21.26%	1,626,125	0
Future RY (2023-2024)	4,601	15.14%	1,161,875	0
Small Business Total	30,383	100%	7,646,650	444,375
Other Than Small Businesses				
Current RY (2021-2022)	5,288	68.92%	13,667,550	127,975
Prior Years Late Registrations (2021-2022)	568	7.40%	1,415,200	12,225
Future RY (2022-2023)	1026	13.37%	2,560,325	0
Future RY (2023-2024)	791	10.31%	1,966,825	0
Other Than Small Businesses Total	7673	100%	19,609,900	140,200
Combined				
Current RY (2021-2022)	21,592	56.74%	17,770,550	503,450
Prior Years Late Registrations (2021-2022)	3,588	9.43%	2,170,850	81,125
Future RY (2022-2023)	7,484	19.67%	4,186,450	0
Future RY (2023-2024)	5,392	14.17%	3,128,700	0
Total Collections	38,056	100%	27,256,550	584,575

Oftentimes in registering, a company will pay arrears in registration fees. In FY 2021, we collected \$2,170,850 in prior year registration fees as displayed by year below:

Schedule of Registration Collections for Prior RYs
FY 2021

<i>Registration Years</i>	<i>Number of Registrants</i>	<i>Registration Collections</i>	<i>Processing Fee</i>
1992-2001	12	\$3,025	575
2001-2002	2	\$550	50
2002-2003	2	\$550	0
2003-2004	4	\$800	50
2004-2005	4	\$800	50
2005-2006	4	\$800	25
2006-2007	4	\$2,450	25
2007-2008	5	\$3,425	75
2008-2009	6	\$3,675	50
2009-2010	11	\$5,650	150
2010-2011	13	\$14,875	125
2011-2012	13	\$15,000	50
2012-2013	12	\$12,425	125
2013-2014	15	\$6,575	200
2014-2015	17	\$13,550	100
2015-2016	23	\$17,375	300
2016-2017	30	\$26,100	400
2017-2018	41	\$33,500	375
2018-2019	75	\$53,625	1150
2019-2020	183	\$138,775	3,125
2020-2021	3,112	\$1,817,325	74,125
Prior Years Fees Received	3,588	2,170,850	81,125