

**Pipeline and Hazardous Materials Safety Administration
Hazardous Materials Registration Program Summary Report**

The Pipeline and Hazardous Materials Safety Administration's (PHMSA), Hazardous Materials Emergency Preparedness Grant Program (the program) is funded by registration fees collected from hazardous materials (hazmat) shippers and carriers who offer for transportation or transport certain hazmat in intrastate, interstate, or foreign commerce. These fees fund training and planning grants, monitoring and technical assistance, curriculum development, and staffing costs. Registration fees also fund the publication and distribution of the Emergency Response Guidebook. Federal hazardous material transportation law (49 U.S.C. 5101 et seq., federal hazmat law) requires offerors and transporters of certain hazardous materials to file a registration statement and to pay an annual registration fee. Each registration year (RY) is the one-year period from July 1 to June 30. The fiscal year (FY) is the accounting period for the federal government that begins on October 1 and ends on September 30.

PHMSA maintains a fund balance in the Registration Fee receipt account at a level sufficient to fund current needs. Current needs include the amount needed to fund the return of prepaid fees. Below is a schedule of available fund balance that indicates \$7,744,090 is available in the receipt account to pay the first obligations in FY 2019:

Pipeline and Hazardous Materials Safety Administration
Schedule of Available Fund Balance
FY 2016 – FY 2018

	FY 2016	FY 2017	FY 2018
Beginning Fund Balance (10/1)	\$ 64,188,615	\$ 62,413,638	\$63,682,841
Plus: Fees Collected	25,448,576	24,679,987	27,993,076 ¹
Less: Payments	(27,223,553)	(23,410,784)	(21,251,263)
Ending Fund Balance	62,413,638	63,682,841	70,424,654
Obligations			
Obligations	(44,737,992)	(50,087,662)	(52,857,089)
Recoveries	20,313	2,427,716	125
Obligations Net of Recoveries	(44,717,679)	(47,659,946)	(52,856,964)
Unencumbered Fund Balance	17,695,959	16,022,895	17,567,690
Less: Registration Fees Received in Advance	(8,757,825)	(7,391,775)	(9,823,600)
Available Fund Balance	\$ 8,938,134	\$ 8,631,120	\$7,744,090

¹/1 reconciled fee collections based on receivables in the financial system

Of the available fund balance on September 30, 2019, the program plans to carry the entire balance forward in to FY 2019 for use the following amounts:

Pipeline and Hazardous Materials Safety Administration

Schedule of Available Fund Balance After Planned Uses

Available Fund Balance 10/1/2018	\$ 7,744,090
Planned Uses	
Less: Hazardous Materials Instructor Training Grants	(3,622,052)
Less: Supplemental Public Sector Training Grants	(934,000)
Less: Registration Program Processing Fees	(618,750)
Less: Grants Program Costs	(1,754,935)
Available Fund Balance after Planned Uses	\$ 814,353

The following table shows the count of registrations and total registration fee collections for (registration and processing fees) in FY 2018

Schedule of Registration Collections Received in FY 2018

<i>Number of registrations</i>	39,277
Total collections	\$ 28,505,869
Processing Fees	\$ 612,225

The following table shows the number of registrations and total collections for registrations and processing fees from the hazardous materials registrants from program inception (FY 1992 through FY 2018).

Schedule of Registration Collections since Program Inception (FY 1992 through FY 2018)

<i>Number of Registrations</i>	782,049
Total collections	462,010,748
Registration Fees	436,651,501
Processing Fees	25,359,247

Along with each registration, the registrant completes a short survey. The survey information is used to record the hazardous materials activities during the prior calendar year. If a person did not engage in any of these activities, then they indicate “Did Not Engage in Any of the Activities”. The registrant also indicates whether the hazardous materials were handled as a Shipper, Carrier or Other. “Other” are registrants (other than the shipper or carrier) who are responsible for performing pre-transportation functions required by Hazardous Materials Regulations (ex. freight forwarders, package consolidators, etc.):

Schedule of Registrants in FY 2018 That Included Survey Information Other Than Category G: “Did Not Engage in Any of the Activities” in the Previous Year

Total Count of registrations (Registrations that include any survey information)	24,312
Count of registrations for FY 2018	22,797
*Count that marked “Shipper”	14,569
Count of registrations for FY 2018	13,507
Small business	9,496
Not small business	4,011
*Count that marked “Carrier”	11,569
Count of registrations for FY 2018	11,002
Small business	9,032
Not small business	1,907
**Count of that marked “Other”	1,456
Count of registrations for FY 2018	1,376
Small business	960
Not small business	416

* Number includes 2,897 that marked both “Carrier” and “Shipper”

** Number includes 602 that also marked “Carrier” or “Shipper” or both

Registrants pay a different rate depending on their self-reported classification as a Small or Large shippers. Below is a summary of fees collected by type of shipper and in total.

Schedule of Registration Collections Received in FY 2018 for Small, Large Businesses, and Combined

	Total Number of Registrants	Percentage	Registration Collections	Processing Fees
Fee Collections in FY 2018 - Small Businesses (or Not-for-profit)				
Collections Received for Current RY (2018)	17,327	55.4%	\$4,363,925	\$407,927
Prior Years Late Registrations Received in Current RY (2018)	2,564	8.2%	638,650	57,450
Collections Received for Future RY (2019)	6,649	21.3%	1,666,175	50
Collections Received for Future RY (2020)	4,725	15.1%	1,183,075	0
Small Business Total	31,265	100%	\$7,851,825	\$465,475
Fee Collections in FY 2018 -Other than Small Businesses/Large Business				
Collections Received for Current RY (2018)	5,582	69.7%	\$14,110,125	\$136,750
Prior Years Late Registrations Received in Current RY (2018)	465	5.8%	1,125,244	10,000
Collections Received for Future RY (2019)	1,109	13.8%	2,711,525	0
Collections Received for Future RY (2020)	856	10.7%	2,094,925	0
Other Than Small Businesses Total	8,012	100%	\$20,041,819	\$146,750
Combined Fee Collections in FY 2018 (Small and Large Business)				
Collections Received for Current RY (2018)	22,909	58.3%	\$18,474,050	\$544,725
Prior Years Late Registrations Received in Current RY (2018)	3,029	7.7%	1,763,894	67,450
Collections Received for Future RY (2019)	7,758	19.8%	4,377,700	50
Collections Received for Future RY (2020)	5,581	14.2%	3,278,000	0
Total FY 2018 Collections	39,277	100 %	\$27,893,644	\$612,225

Oftentimes in registering a shipper will pay arrears in registration fees. In FY 2018, we collected \$1,763,894 in prior year registration fees as displayed by year the original fee was payable below:

Schedule of Registration Collections for Prior RYs 1992 to 2018 Collected During FY 2018

Registration Years	Number of Registrants	Registration Collections	Processing Fee
1992-1999	1	250	50
2000-2001	3	2,525	100
2001-2002	4	2,800	25
2002-2003	4	2,800	0
2003-2004	6	900	125
2004-2005	6	900	25
2005-2006	6	900	25
2006-2007	6	2,225	100
2007-2008	6	2,225	25
2008-2009	7	2,475	50
2009-2010	11	4,925	200
2010-2011	15	13,050	150
2011-2012	14	12,800	75
2012-2013	14	8,150	150
2013-2014	19	7,075	250
2014-2015	29	18,875	350
2015-2016	73	60,100	1,275
2016-2017	214	141,575	3,975
2017-2018	2,591	1,479,344	60,500
Total Registrations Received in RY 2018	3,029	1,763,894	67,450

Registration Fees Collected in Advance* for Use in Future FYs Obligations

	Registrations Collections	Processing Fee
Funds collected in RY 2017 for FY2019	\$2,167,900	\$0
Funds collected in RY 2018 for FY 2019	4,377,700	50
Funds Collected in RY 2018 for use in FY 2020	3,278,000	
Total Funds Collected in Advance for Use in Future FYs	\$9,823,600	\$50

* Registrants can register for up to 3 years in advance