1. **GRANTEE:** (See individual authorization letter)

2. **PURPOSE AND LIMITATION:**
   
a. This special permit authorizes the transportation in commerce of packages containing lithium ion cells without the marking or label required in §173.185(c)(1)(iii) when contained in overpacks and transported via motor vehicle between Rivian Automotive, LLC and the grantee’s operational locations. This special permit provides no relief from the Hazardous Materials Regulations (HMR) other than as specifically stated herein. The most recent revision supersedes all previous revisions.

   b. Only Rivian Automotive, LLC and entities having contracts with Rivian Automotive, LLC may be granted party status to this special permit.

   c. The safety analyses performed in the development of this special permit only considered the hazards and risks associated with the transportation in commerce.

   d. Unless otherwise stated herein, this special permit consists of the special permit authorization letter issued to the grantee together with this document.

3. **REGULATORY SYSTEM AFFECTED:** 49 CFR Parts 106, 107 and 171-180.

4. **REGULATIONS FROM WHICH EXEMPTED:** 49 CFR § 172.301(c) in that the outside of each package need not be marked with the special permit number and §173.185(c)(1)(iii) in that each package need not be marked or labeled.

5. **BASIS:** This special permit is based on the application of Rivian Automotive, LLC, dated October 31, 2023, submitted in accordance with §107.105 and the public proceeding thereon, and additional information submitted on November 28, 2023.
6. **HAZARDOUS MATERIALS (49 CFR 172.101):**

<table>
<thead>
<tr>
<th>Hazardous Materials Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Proper Shipping Name</td>
</tr>
<tr>
<td>------------------------</td>
</tr>
<tr>
<td>Lithium ion batteries including lithium ion polymer batteries</td>
</tr>
</tbody>
</table>

Note: Only lithium ion cells with a Watt-hour rating conforming to § 173.185(c)(1)(i) may be transported under the terms of this special permit.

7. **SAFETY CONTROL MEASURES:**

a. **PACKAGING:** Packagings must conform to the requirements in § 173.185(c)(2).

b. **TESTING:** Each cell must be of the type that has been proven to meet the criteria in part III, sub-section 38.3 of the UN Manual of Tests and Criteria (IBR; see § 171.7).

c. **OPERATIONAL CONTROLS:**

   (1) Packages prepared in accordance with this special permit must be placed on a pallet and secured by shrink wrapping.

   (2) Transportation is only authorized between grantee and contractor locations.

   (3) Each package in an overpack covered under the terms of this special permit must remain secured to the pallet, and each package must remain within the overpack while in transportation.

d. **MARKING:** Each overpack covered under the terms of this special permit must be durably and legibly marked and displayed on a background of contrasting color with the word “OVERPACK”, “DOT-SP 21539”, the words “Packages must remain within this overpack during transport”, and the words “LITHIUM BATTERIES – FORBIDDEN FOR TRANSPORT ABOARD AIRCRAFT AND VESSEL” in letters at least 12 mm (0.5 inches) high and with the handling marking in § 173.185(c)(3).
8. **SPECIAL PROVISIONS:**

   a. A person who is not a holder of this special permit who receives an overpack covered by this special permit may reoffer it for transportation provided no modification or change is made to the overpack and it is offered for transportation in conformance with this special permit and the HMR.

   b. A current copy of this special permit must be maintained at each facility where the package is offered or reoffered for transportation.

9. **MODES OF TRANSPORTATION AUTHORIZED:** Motor vehicle.

10. **MODAL REQUIREMENTS:** A current copy of this special permit must be carried aboard each motor vehicle used to transport packages covered by this special permit.

11. **COMPLIANCE:** Failure by a person to comply with any of the following may result in suspension or revocation of this special permit and penalties prescribed by the Federal hazardous materials transportation law, 49 U.S.C. 5101 et seq:

   o All terms and conditions prescribed in this special permit and the Hazardous Materials Regulations, 49 CFR Parts 171-180.

   o Persons operating under the terms of this special permit must comply with the security plan requirement in Subpart I of Part 172 of the HMR, when applicable.

   o Registration required by § 107.601 et seq., when applicable.

Each "Hazmat employee", as defined in § 171.8, who performs a function subject to this special permit must receive training on the requirements and conditions of this special permit in addition to the training required by §§ 172.700 through 172.704.

No person may use or apply this special permit, including display of its number, when this special permit has expired or is otherwise no longer in effect.

Under Title VII of the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU) — “The Hazardous Materials Safety and Security Reauthorization Act of 2005” (Pub. L. 109-59), 119 Stat. 1144 (August 10, 2005), amended the Federal hazardous materials transportation law by changing the term “exemption” to “special permit” and authorizes a special permit to be granted up to two years for new special permits and up to four years for renewals.
12. **REPORTING REQUIREMENTS:** Shipments or operations conducted under this special permit are subject to the Hazardous Materials Incident Reporting requirements specified in 49 CFR §§ 171.15 - Immediate notice of certain hazardous materials incidents, and 171.16 - Detailed hazardous materials incident reports. In addition, the grantee(s) of this special permit must notify the Associate Administrator for Hazardous Materials Safety, in writing, of any incident involving a package, shipment or operation conducted under terms of this special permit.

Issued in Washington, D.C.:

[Signature]

for William Schoonover
Associate Administrator for Hazardous Materials Safety


Copies of this special permit may be obtained by accessing the Hazardous Materials Safety Homepage at [https://www.phmsa.dot.gov/approvals-and-permits/hazmat/special-permits-search](https://www.phmsa.dot.gov/approvals-and-permits/hazmat/special-permits-search). Photo reproductions and legible reductions of this special permit are permitted. Any alteration of this special permit is prohibited.

PO: BB